Master's Thesis

# Biased Random-Key Genetic Algorithms for the Minimum Broadcast Time Problem 

Alfredo Lima Moura Silva<br>alfredolms@ic.ufal.br

Advisers:
Dr. Rian Gabriel Santos Pinheiro
Dr. Bruno Costa e Silva Nogueira

## Alfredo Lima Moura Silva

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A thesis submitted by Alfredo Lima Moura Silva in partial fulfillment of the requirements for the degree of Master of Science in Informatics at the Federal University of Alagoas, Computing Institute.

Advisers:

Dr. Rian Gabriel Santos Pinheiro
Dr. Bruno Costa e Silva Nogueira

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Campus A. C. Simões BR 104-Norte Km 14 BL 12 Tabuleiro do Martins Maceió/AL - Brasil CEP: 57.072-970 | Telefone: (082) 3214-1401

Folha de Aprovação

ALFREDO LIMA MOURA SILVA

## BIASED RANDOM-KEY GENETIC ALGORITHMS FOR THE MINIMUM BROADCAST TIME PROBLEM

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## Resumo

O problema Tempo Mínimo de Transmissão (TMT) é um problema conhecido de disseminação de dados, com o objetivo é encontrar um esquema de transmissão que minimize o número de passos necessários para executar a operação de transmissão.

O Tempo Mínimo de Transmissão com Peso (TMTP) é uma generalização do TMT, de forma que cada operação tem um custo. Ambos os problemas têm diversas aplicações em sistemas distribuídos, por exemplo, o processo de atualização de dispositivos em uma rede ponto-a-ponto.

Este trabalho propõe Algoritmos Genéticos de Chave-Aleatória Enviesados (AGCAE) para o TMT e o TMTP. Um algoritmo híbrido (AGCAE + Programação Linear Inteira) para o TMT. Algoritmos para calcular um limite inferior para o TMT e o TMTP. Uma abordagem de refinamento, e métodos para criar instâncias com ótimos conhecidos para TMT e TMTP. Além disso, um método para diminuir os grafos para o TMTP.

Foi realizado experimentos com o AGCAE em instâncias comumente utilizadas na literatura e também em instâncias sintéticas massivas (até 1000 vértices), o que permite cobrir muitas possibilidades de topologias reais da indústria.

A proposta comparou métodos exatos e heurísticas do estado-da-arte dos problemas. Os algoritmos superaram as heurísticas mais conhecidas para TMT e TMTP.

Os experimentos demonstraram que estes algoritmos são uma boa alternativa quando métodos exatos não podem ser aplicados. Para todas as instâncias do TMT com valor ótimo conhecido, os algoritmos alcançaram o valor ótimo ou no máximo uma unidade para encontrar o tempo mínimo de transmissão. Para todas as instâncias do TMTP, as abordagens alcançaram ou melhoraram os resultados da literatura.

Keywords: Otimização combinatória, Tempo Mínimo de Transmissão, Metaheurísticas, Algoritmos Genéticos de Chave Aleatória Enviesados.

## Abstract

The Minimum Broadcast Time (MBT) is a well-known data dissemination problem whose goal is to find a broadcast scheme that minimizes the number of steps needed to execute the broadcast operation. The Weighted Minimum Broadcast Time (WMBT) is a generalization of the MBT, such that each operation has a cost. Both problems have many applications in distributed systems, e.g., the devices update process in a peer-to-peer network.

This work proposes Biased Random-Key Genetic Algorithms (BRKGA) for the MBT and WMBT. A hybrid algorithm (BRKGA + Integer Linear Programming) for the MBT. Algorithms to calculate a lower bound for the MBT and WMBT. A refinement approach and methods to create instances with known optima for the MBT and WMBT. Moreover, reducing rules for the WMBT.

We carry out experiments with our BRKGA on instances commonly used in the literature and also on massive synthetic instances (up to 1000 vertices), allowing us to cover many possibilities of real industry topologies. Our proposal compared state-of-the-art exact methods and heuristics. Our algorithms outperformed the best-known heuristics for the MBT and WMBT. The experiments demonstrated they are a very good alternative when exact methods cannot be applied. For all instances for the MBT with known optima value, our approaches either attained the optimal value or missed it by at most one broadcast step. For all instances for the WMBT, our approaches attained or improved the results of literature.

Keywords: Combinatorial Optimization, Minimum Broadcast Time, Metaheuristics, Biased Random-Key Genetic Algorithms.

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## 1

## Introduction

Broadcasting is the distribution of data in a network. According to Hedetniemi et al. (1988), it has been studied since the early 1950s with Bavelas (1950). He studied the effectiveness of different communication patterns in helping small groups solve common tasks. He considered measures such as the time required to perform an information transmission task and several problems, e.g., the gossiping problem. In the 1970s, the broadcasting problem became more and more popular among researchers.

The Minimum Broadcast Time (MBT) problem (Farley et al., 1979) consists of finding the shortest sequence of messages that allows the data to reach every node in the network. At each time step, every node can transmit the message to at most a single neighbor. The goal is to find a broadcast scheme that minimizes the number of steps needed to execute the broadcast operation.

Figures 1.1-1.3 show a simple example of the MBT, where a dashed vertex indicates that the vertex did not receive the message, and an arrow indicates a message sent at time $t$. In this example, $V_{0}=\left\{g_{1}\right\}$ model a set of network gateways, whereas $D=\left\{d_{1}, d_{2}, d_{3}\right\}$ a set of common network devices. A simple (feasible) solution for the presented problem is depicted in Figure 1.2 and suggests a three-step broadcast. However, Figure 1.3 shows an optimal solution that requires only two steps to broadcast the data throughout the network.


Figure 1.1: Example scenario





Figure 1.2: Feasible broadcast solution.



Figure 1.3: Optimal broadcast solution.

### 1.1 Motivation

The MBT and its generalization, the Weighted Minimum Broadcast Time (WMBT), have many applications in distributed systems, such as the Internet of Things (IOT) and Wireless Sensor Networks (WSNs) (Shang et al., 2010). These applications rely mainly on large-scale machine communications. Hence, they need data dissemination techniques that combine high reliability with low communication latency.

Besides distributed systems, the MBT has many other applications, including communication among telephone networks (Ivanova, 2019), surveillance and reconnaissance (Dekker, 2002), and Direct Memory Access (DMA) (Lazard, 1992). Next, we present applications in robotics, satellite networks and an industrial with Bluetooth.

In the context of swarm robotics, the MBT can be used for solving the Freeze-Tag Problem (Bucantanschi et al., 2007; Keshavarz et al., 2011). The problem is to devise a schedule to activate all robots in the minimum amount of time. Activation of robots, other than the initial robot, only occurs if an active robot physically moves to the location of an inactive robot.

Chu and Chen (2018) described a practical application on satellite networks. Antennas transmit data over a long distance in a directed way. Each vertex represents a satellite or a base station, and transmissions only occur one at a time. Each satellite has a transmission and setup time, such that the first considers the time to transmit the data and the second considers the time to redirect the antenna.

The original motivation for our work on the MBT was a network problem from an industrial partner, which asked us to optimize their device update process. In their network, devices used Bluetooth for intranet communication. Some of these devices (gateways) had a GPRS board for
external communication. In preliminary experiments, they tested the update process considering that the gateways had a firmware image of 200KB to broadcast. Two broadcasting techniques were tested: peer-to-peer and Bluetooth mesh. In peer-to-peer, the nodes work in a MBT style such that only one transmission occurs at a time per device, whereas in mesh this restriction does not exist and a device can update more than one neighbor at the same time. The experiments have shown that in peer-to-peer the update process took 2 minutes, and in Bluetooth mesh, it took 4.5 hours. The main reason for this difference is that the mesh technique demanded more bandwidth, which in turn resulted in more network congestion and packet loss. This observation was formally demonstrated in Robledo et al. (2020).

### 1.2 Message-passing systems

According to Tsou et al. (2013), the two most common models for studying message-passing systems are the telephone model (Slater et al., 1981; Su et al., 2010) and the postal model (BarNoy and Kipnis, 1994). In both models, the communication is made via calls between adjacent vertices, by transmitting a single message from one sender to one receiver. In the telephone model, each call takes one unit of time, and each vertex can only participate in one call at any time. The postal model incorporates a communication setup phase and latency time. It is introduced as the most appropriate for the message-passing system, which employs additional parameters $\alpha \geq 0$ and $\beta \geq 0$, called the setup phase and latency time, respectively.

In this model, each call consists of a setup phase, which takes $\alpha$ units times. Furthermore, a sender can start a new call to another receiver when the transmission phase of its last call finishes. For example, suppose that a vertex $u$ wants to transmit a message first to $v_{1}$ and then to $v_{2}$ at time 0 . In its first call, $u$ sets up the connection to $v_{1}$ and after $\alpha$ units time sends the message to $v_{1}$. Thus, the call from $u$ to $v_{1}$ will be completed after $\alpha$ units of time, but $v_{1}$ received message in $\alpha+\beta$ units of time. Since the setup phase of the first call is finishes at time $\alpha$, the call from $u$ to $v_{2}$ must be completed after $2 \cdot \alpha$ units of time, but $v_{2}$ receives the message in $2 \cdot \alpha+\beta$ units of time.

Rico-Gallego et al. (2019) present these models generics, considering a setup phase or connection time $\alpha$ and transmission time $\beta$. Where $\alpha$ is assumed to be a constant and $\beta$ varies from edge to edge. Hence, the transmission time between the sender $v$ and receiver $u$ is $\beta_{v, u}$.

The telephone model considers that the device must be set up only once and then can transmit. That is, if the sender $v$ transmits to $u_{1}, u_{2}, \ldots$ and $u_{n}$, thus broadcast time is defined as:

$$
b(v)=\alpha+\max _{i \in\{1,2, \ldots, n\}}\left(\left(\sum_{j=1}^{i} \beta_{v, u_{j}}\right)+b\left(u_{i}\right)\right) .
$$

On the other hand, the postal model considers that the device must be set up for each connection, and then it can transmit. That is, if the sender $v$ transmits to $u_{1}, u_{2}, \ldots$ and $u_{n}$, thus
broadcast time is defined as:

$$
b(v)=\max _{i \in\{1,2, \ldots, n\}}\left(i \cdot \alpha+\beta_{v, u_{i}}+b\left(u_{i}\right)\right) .
$$

Figure 1.4 shows an example instance of the MBT. Figures 1.5.a and 1.5.b show the broadcast scheme for the telephone model and postal model with $\alpha_{g_{1}}=2, \alpha_{d_{1}}=\alpha_{d_{2}}=\alpha_{d_{3}}=0$, $\beta_{g_{1}, v_{1}}=1, \beta_{g_{1}, v_{2}}=2$, and $\beta_{g_{1}, v_{3}}=3$. The ordering of transmissions is arbitrary. In Figure 1.5.a, the vertex $g_{1}$ transmits to $v_{1}, v_{2}$ and $v_{3}$. Note that $g_{1}$ has only one setup phase before the first sending. The remaining transmissions do not have this phase. On the other hand, in Figure 1.5.b (that illustrated the postal model), the vertex $g_{1}$ transmits to $v_{1}, v_{3}$ and $v_{2}$. Note that, before each transmission, there is a setup phase.


Figure 1.4: Network with connection time and transmission time

(a) Telephone model
$\qquad$

$$
t=1
$$



(b) Postal model

Figure 1.5: Telephone and postal models

### 1.3 Optimization

Gomez et al. (2004) define optimization as "doing the most with the least" and Lockhart and Johnson (2000) define optimization as "the process of finding the most effective or favorable value or condition". Optimization is the act of finding the input parameters or arguments to a function that result in the minimum or maximum output of the function. Classical optimization models are mathematical programming, combinatorial optimization, constraint satisfaction, and nonanalytic. Mathematical programming can be divided into continuous, integer, and mixed for linear or nonlinear models (Talbi, 2009).

Combinatorial problems can be identified in the most diverse real applications such as planning, production, coordination, investment, transportation, communication, among others. Combinatorial problems can be identified in the most diverse real applications such as planning, production, coordination, investment, transportation, communication, and others. Furthermore, they can be examined, formulated and solved, using techniques from different areas of knowledge such as engineering, biology, economics and computer science. To solve one of these problems, you must maximize/minimize a given function with one or several variables, so that all constraints are satisfied. Those constraints are modeled in the form of equations or inequalities. However, solving some problems might be hard, since they can belong to the $\mathcal{N} P$-hard complexity class. In these cases, their resolution through exact methods is ineffective in instances of high dimensions. They need memory and processor time, so maybe it is not suitable practical to use them. But, approximate or heuristic methods can generate high-quality solutions in acceptable time for practical use, but there is no guarantee of finding a globally optimal solution.

### 1.3.1 Exact algorithms

Exact methods obtain optimal solutions and guarantee their optimality. For $\mathcal{N} P$-hard problems, we do not know a polynomial-time algorithm to solve them. We include some classical algorithms as dynamic programming, and branch-and- $X$ family of algorithms (branch-and-bound, branch-and-cut, branch-and-price) (Padberg and Rinaldi, 1987; Barnhart et al., 1998).. Both methods perform the search by subdividing it into smaller problems of the same kind. Another exact approach is to transform combinatorial problems into linear programming (LP) and integer linear programming problems and then solve them using a mathematical programming solver.

### 1.3.2 Approximate algorithms

Approximate methods generate high-quality solutions in a reasonable time for practical use, but there is no guarantee of finding a globally optimal solution. In the class of approximate methods, two subclasses of algorithms may be distinguished: approximation algorithms and heuristic algorithms (Talbi, 2009).

Heuristics find "good" solutions on large-size problem instances. They allow obtaining acceptable performance at acceptable costs in a wide range of problems. In general, heuristics do not have an approximation guarantee on the obtained solutions. Specific heuristics are tailored and designed to solve a specific problem and/or instance. Greedy or constructive algorithms start from scratch (empty solution) and construct a solution by assigning values to one decision variable at a time, until a complete solution is generated (Gendreau and Potvin, 2010).

Approximation algorithms are similar to heuristics algorithms, but they ensure that the bound of the obtained solution is from the global optimum. An $k$-approximation algorithm generates an approximate solution not less than a factor $k$ times the optimum solution (Vazirani, 2001).

### 1.3.3 Metaheuristics

Unlike heuristics that are designed to solve a specific problem, metaheuristics are generalpurpose algorithms that can be applied to solve almost any optimization problem. They may be viewed as upper-level general methodologies that can be used as a guiding strategy in designing underlying heuristics to solve specific optimization problems. Metaheuristics allow tackling large-size problem instances by delivering satisfactory solutions in a reasonable time. There is no guarantee to find globally optimal solutions or even bounded solutions. Their use in many applications shows their efficiency and effectiveness to solve large and complex problems (Sorensen et al., 2017).

In designing a metaheuristic, two contradictory criteria must be taken into account: (i) the exploration of the search space (diversification) and (ii) the exploitation of the best solutions found (intensification). We can determine if a region is promising by the quality of its solutions. In intensification, a promising area is explored more deeply in the hope of finding better solutions. In diversification, unexplored areas should be visited to make sure that all regions of the search space are explored evenly and that the search is not limited to just a small number of regions.

Talbi (2009) classifies metaheuristics into two groups: single-solution-based and populationbased metaheuristics. For single-solution-based metaheuristics, the main idea is to find highquality solutions interactively. The initial solution is the first solution. It can be entirely random, greedy, or a combination of both. The neighborhood of a solution $s$ is a set of similar solutions, which can be generated from $s$ by applying predefined "small" perturbations. The algorithm can accept a quality improvement or move to worst solution. These features may vary for each metaheuristic.

The population-based metaheuristics approach maintains and improves multiple candidate solutions, often using population characteristics to guide the search. The candidates have a cooperative relationship, where this relationship designs from a kind of metaheuristic. There are such as ACO (ant colonies optimization), GA (genetic algorithms), GRASP (greedy adaptive search procedure), ILS (iterated local search), PSO (particle swarm optimization), SA (simulated annealing), TS (tabu search), and VNS (variable neighborhood search). Now, we will show an
overview of GA and detail the biased random-key genetic algorithm.
Genetic algorithms (GA) is a population-based metaheuristic that relies on the principles of natural selection. It can be viewed as an iterative improvement in a population of solutions. A GA usually has seven steps (Holland, 1975): (i) Initial population, (ii) Evaluation, (iii) Selection,
(iv) Recombination, (v) Mutation, (vi) Replacement, and (vii) Repeat (ii)-(vi). First, the population is initialized and evaluated. Then, a new population of solutions is generated using some selection, followed by recombination and mutation procedures. Finally, this new population is integrated into the current one.

In a GA, each individual is referred as a chromosome, which encodes a candidate solution. A chromosome consists of a string of genes whose values are called alleles. An objective function associates a fitness value with each individual indicating its fitting to the problem. In the evolving process, individuals are selected to reproduce, and a crossover operator is used on the selected individuals to produce new offspring that make up the next generation. In addition, mutation operators are involved in this process. Finally, a replacement step is applied to determine which individuals of the population (parents and offspring) will survive.

The proposed GA is not similar to classical Genetic Algorithms (Holland, 1975), where individuals are represented using a binary array and modified by some mutation operator. Our algorithm is based on more recent implementations using random-keys encodings. In randomkey genetic algorithms (RKGA), developed by Bean (1994), the chromosomes consist of vectors of real numbers generated randomly in the range $[0,1)$. Besides, a deterministic algorithm called decoder processes the vector to calculate the fitness of the solution. RKGA does not make use of the standard mutation operator, where some alleles are changed with a given probability. Instead, new (mutant) solutions are randomly generated and introduced in the current population in each generation, in the same way as the initial population is created.

A biased random-key genetic algorithm (BRKGA) (Gonçalves and Resende, 2011) differs from an RKGA in the way parents are selected for crossover. As shown in Fig. 1.6, at each generation, the population is partitioned into two parts: elite and non-elite. The elite part contains the best solutions in the total population and is simply copied to the next population generation. The remaining elements are created by crossover or randomly generated (mutants). In the recombination phase, each new individual is generated by combining one individual selected from the elite set and another individual selected from the non-elite population. The elite parent has a higher probability of passing its genes to the offspring generation, i.e., a biased selection via the parameterized uniform crossover operator proposed by Spears and Jong (1991). This process is illustrated in Fig. 1.6, where $\rho_{e}=0.7(70 \%)$ is the probability that the offspring will inherit each of its alleles from the best fit of the two parents. Gonçalves et al. (2014) made an empirical comparison of biased and unbiased random-keys genetic algorithms in four types of covering problems and has shown that the biased variant is faster than the original Bean's algorithm.


Figure 1.6: BRKGA

### 1.3.4 Matheuristics

Matheuristics are optimization methods that integrate mathematical programming techniques into a heuristic framework (Boschetti et al., 2009). A matheuristic combines a metaheuristic with exact methods, such as algorithms from mathematical programming and constraint programming. Both components of a hybrid metaheuristic may run concurrently and exchange information to guide the search. Coupling metaheuristics and exact methods is a promising alternative in solving many combinatorial optimization problems. The interest in hybrid approaches has rapidly grown especially due to several inspiring results obtained by the union of these two methods.

### 1.4 Objectives

Our work is centralized in solving the MBT and the WMBT using a Biased Random-Key Genetic Algorithm (BRKGA). We focus on large and sparse networks since they are the hardest instances to find the optimal solution (Hasson and Sipper, 2004). Finally, our proposal can be summarized as follows (see Figure 1.7):

- For MBT:
(i) An algorithm to calculate a lower bound;
(ii) A BRKGA metaheuristics;
(iii) A BRKGA metaheuristics coupled with a refinement approach;
(iv) A hybrid algorithm (BRKGA + ILP);
(v) A method to create instances with known optima;
- For WMBT:
(i) Three exact models (one for each variant of the WMBT);
(ii) An algorithm to calculate a lower bound;
(iii) A polynomial algorithm to calculate the WMBT of trees;
(iv) Two BRKGA metaheuristics coupled with a refinement approach;
(v) A method to create instances with known optima.


### 1.5 Structure of the Thesis

This work is structured as follows: Chapter 2 is the outcome of an article in revision in the International Transactions in Operational Research (ITOR). It presents the definition, related work of the MBT, and our proposals for the MBT. Chapter 3 introduces the definition, related work of the WMBT, and our contributions to the WMBT. Finally, Chapter 4 includes our final considerations.


Figure 1.7: Overview of this work.

## 2

## Minimum Broadcast Time

The Minimum Broadcast Time is a classical $\mathcal{N} \mathscr{P}$-hard problem (Garey and Johnson, 1979, problem ND49). The problem can be formally defined as the following. Let $G=(V, E)$ be an undirected connected graph and $V_{0} \subseteq V$ a subset of vertices (message originators) which, initially, contain a given message. Let $V_{t}$ be the set of vertices that receive the message at time $t$ or earlier, with $1 \leq t \leq T$, where $T$ is an upper bound for the broadcast time. From these definitions, the MBT asks to find a sequence $V_{0}, E_{1}, V_{1}, E_{2}, \ldots, E_{k}, V_{k}$ that minimizes $k$, such that $V_{k}=V$. In addition, for each $t \in\{1, \ldots, k\}$, the following constraints hold: (i) each edge in $E_{t}$ has exactly one endpoint in $V_{t-1}$, (ii) no two edges in $E_{t}$ share a common endpoint, and (iii) $V_{t}=V_{t-1} \cup\left\{v:(u, v) \in E_{t}\right\}$.

Here, we have some terminology that will be used throughout this work.
Definition 1. The broadcast scheme, denoted as $B_{S}$, is a sequence of vertices and edges $V_{0}$, $E_{1}, V_{1}, \ldots, V_{T}$ that describes a solution.

Definition 2. Broadcast tree $B_{T}$ is a directed spanning tree that describes a solution.
Definition 3. Broadcast time of vertex $v_{0}$ in $G$, denoted as $b\left(G, v_{0}\right)$, is minimum number of steps to $v_{0}$ broadcast the message for all vertices in $V$.

Definition 4. Broadcast time of graph $G$ is defined by $b(G)=\min _{v_{0} \in V}\left(b\left(G, v_{0}\right)\right)$.
Definition 5. Broadcast center of graph $G$ is defined as $B C(G)=\left\{v_{0} \in V \mid b\left(v_{0}\right)=b(G)\right\}$.
Definition 6. $A$ graph $G$ is called broadcast graph if $\max _{v_{0} \in V}\left(b\left(G, v_{0}\right)\right)=\left\lceil\log _{2}|V|\right\rceil$.
Definition 7. A minimum broadcast graph (MBG) is a broadcast graph with a minimum number of edges.

### 2.1 Related Work

This section introduces the related work on the MBT. Given its potential for modeling many real-world applications, the MBT has attracted considerable research interest, and several exact (de Sousa et al., 2018; Ivanova, 2019), approximation (Elkin and Kortsarz, 2003; Kortsarz and Peleg, 1992), and heuristics (Scheuermann and Wu, 1984; Hoelting et al., 1996; de Sousa et al., 2018; Hasson and Sipper, 2004) algorithms have been proposed.

In some special situations, for instance, when $\left|V_{0}\right|=1$ and the graph is a tree (Koh and Tcha, 1991; Su et al., 2010) or a complete grid (Wojciechowska and Scoy, 1999), the MBT can be optimally solved in polynomial time. For arbitrary graphs, the exact approaches include a dynamic programming algorithm (Scheuermann and Wu, 1984) and the ILP models presented by de Sousa et al. (2018) and Ivanova (2019). Currently, the ILP model suggested by de Sousa et al. (2018) is the best exact method. However, this model is able to solve in reasonable times only instances of up to approximately 50 vertices, which is an insufficient number for real-world industrial applications (this model is presented in Section 2.2.4).

Other works proposed approximation algorithms, constructive-based heuristics and metaheuristics. Approximation algorithms for MBT are studied in Kortsarz and Peleg (1992), where the authors introduce an $O(\sqrt{n})$-additive approximation algorithm for broadcasting in general graphs with $n$ vertices. This work also proposes several approximation algorithms for graph classes with small separators, with an approximation ratio proportional to the separator size times $\log n$. Another algorithm with $O\left(\frac{\log n}{\log \log n}\right)$-approximation ratio is presented in Elkin and Kortsarz (2003).

Scheuermann and Wu (1984) were the first to propose heuristics for the problem, with two constructive-based heuristic algorithms: Least Weight Maximum Matchings (LWMM) and Approximate Matching (AM). The AM presented better results. Next, Hoelting et al. (1996) proposed a genetic algorithm (GA), the first metaheuristic for the MBT. They compared their GA with AM, and the experimental results indicated that the GA produces better broadcast time values. Hasson and Sipper (2004) proposed an ant colony system (ACS) algorithm and compared it with LWMM, AM and GA. The ACS presented the best results in comparison with the other heuristics.

We present a summarized the literature's approaches. Table 2.1 lists the main articles about MBT in the literature.

Table 2.1: List of articles about MBT in the literature.

| Article | Approach | Observation |
| :---: | :---: | :---: |
| Scheuermann and Wu (1984) | Exact and heuristic algorithms | - |
| de Sousa et al. (2018) | ILP model and heuristic algorithm | - |
| Ivanova (2019) | ILP model and lower bound | - |
| Hoelting et al. (1996) | Metaheuristic algorithm | - |
| Hasson and Sipper (2004) | Metaheuristic algorithm | - |

Table 2.1 - continued from previous page

| Article | Approach | Observation |
| :---: | :--- | :--- |
| Slater et al. (1981) | Polynomial time algorithm | For broadcast center problem, when graph is a tree <br> and $\left\|V_{0}\right\|=1$. |
| Koh and Tcha (1991) | Polynomial time algorithm | For MBT, when graph is a tree and $\left\|V_{0}\right\|=1$. With <br> complexity $O(\|E\| \log (\|E\|))$. |
| Su et al. (2010) | Polynomial time algorithm | For MBT, when graph is a tree and $\left\|V_{0}\right\|=1$. With <br> complexity $O(\|E\|)$. |
| Wojciechowska and Scoy (1999) | Polynomial time algorithm | For MBT, when graph is a complete grid and $\left\|V_{0}\right\|=1$. |

### 2.2 Algorithmic approaches for the MBT

This section introduces our proposals for the classical MBT. Section 2.2.1 proposes synthetic instances for the MBT. Section 2.2.2 describes a lower bound algorithm for the MBT. Section 2.2.3 presentes some decoders for the MBT. Finally, Section 2.2.4 shows a matheuristic for the MBT.

### 2.2.1 Synthetic instances for the MBT

Given that the optimal solution for large MBT instances is often unknown, we generated a new benchmark with known optimal solutions using the following procedure.

Let the instance $G=(V, E)$ be defined by the union of a binomial tree $B_{k}=\left(V_{B}, E_{B}\right)$ and a random graph $G_{r}=\left(V_{r}, E_{r}\right)$, where $V=V_{B}=V_{r}$ and $E=E_{B} \cup E_{r}$. The binomial tree $B_{k}$ is an ordered tree defined recursively as follows: (i) $B_{0}$ is a trivial graph, (ii) $B_{k}$ is constructed from two binomial trees $B_{k-1}$ by attaching one of them as the rightmost (can be leftmost) child of the root of the other. Figure 2.1 shows the binomial trees $B_{0}$ through $B_{3}$. Note that the MBT of a binomial tree $B_{k}$ is equal to $k$ if the root of $B_{k}$ is in $V_{0}$. The random graph $G_{r}$ is based on the $\mathbb{G}(n, p)$ model, also known as the binomial model (Gilbert, 1959). Each graph $G_{r}=\left(V, E_{r}\right)$ is generated with $n$ vertices and each potential edge in $E_{r}$ is created with probability $p$. In Figure 2.2, we apply a union of graphs $B_{3}$ and $G_{r}$ and we obtain a synthetic graph $G$ with 8 vertices.


Figure 2.1: Examples of binomial trees.

(a) $B_{3}$

(b) $G_{r}$

(c) $G=B_{3} \cup G_{r}$

Figure 2.2: Building a synthetic instance.

This methodology can be applied to create synthetic instances with multiple sources ( $\left|V_{0}\right|>$ 1). The idea is simple, we will build $\left|V_{0}\right|$ binomial trees and connect them with a random graph. In Figure 2.3 we created a synthetic instance with $V_{0}=\{1,5\}$.

(a) $B_{2}+B_{2}$

(b) $G_{r}$

(c) $G$

Figure 2.3: Building a synthetic instance with 2 sources.

### 2.2.2 Lower bound algorithm

In many practical applications, bounds on the optimal solutions are sufficient. Bounds can also help solvers to prove the optimally of a solution. Clearly, $|V|-\left|V_{0}\right|$ is an upper bound for the optimal broadcast time. Furthermore, the value

$$
\begin{equation*}
\operatorname{TLB}\left(G, V_{0}\right)=\left\lceil\log _{2} \frac{|V|}{\left|V_{0}\right|}\right\rceil \tag{2.1}
\end{equation*}
$$

defines a theoretical lower bound for the MBT (Ivanova, 2019). It is easy to see that a complete graph needs exactly $\left\lceil\log _{2} \frac{|V|}{\left|V_{0}\right|}\right\rceil$ steps to broadcast.

A good estimate of the planning horizon length is very important for the performance of a mathematical formulation. A tighter lower bound can reduce the number of columns in the coefficient matrix and, thus, reduce the computational demand and the total amount of used memory. Moreover, a lower bound can be used by a heuristic algorithm to prove the optimality of a feasible solution, i.e., if a heuristic finds a solution whose value meets the lower bound, then this solution is proved optimal.

Algorithm 1 presents the pseudo-code for the proposed lower bound calculation. The proposed lower bound, called LBB-BFS, is based on a multisource breadth-first search (BFS), starting at every vertex of $V_{0}$. Its main objective is to find the maximum shortest path between a target vertex and its nearest source. Note a straightforward implementation of LBB-BFS, i.e., applying a breadth-first search on each $v \in V_{0}$, would require $\mathrm{O}\left(\left|V_{0}\right| \cdot|E|\right)$. This issue can be addressed by labeling every vertex in $V_{0}$ as discovered, and placing them at the beginning of the known vertices queue $Q_{0}$ (see lines 4-7). Overall, the worst-case running time of the LBB-BFS function is $O(|E|)$. A similar approach based on BFS has also been used by de Sousa et al. (2018) to reduce the number of variables in their proposed ILP formulation.

```
Algorithm 1: Lower bound algorithm for the MBT.
    Input : Undirected graph: \(G=(V, E)\),
            Source set: \(V_{0}\)
    Output: Lower bound to broadcast: lowerBound
    LBB-BFS \(\left(G, V_{0}\right)\)
        for each \(v \in V\) do
        \(\operatorname{dist}[v] \leftarrow \infty \quad / /\) Set distance to infinity
        \(Q \leftarrow\) InitQueue() // Let \(Q\) be an empty queue
        for each \(v_{0} \in V_{0}\) do
            \(\operatorname{dist}\left[v_{0}\right] \leftarrow 0 \quad / /\) Set distance to zero
            \(Q \leftarrow\) Enqueue \(\left(Q, v_{0}\right) \quad / /\) Enqueue \(v_{0}\) in \(Q\)
        while not isEmpty \((Q)\) do
            \(v \leftarrow\) Dequeue \((Q) \quad / /\) Dequeue \(v\) of \(Q\)
            for each \(u \in G . a d j[v]\) do
                if dist \([u]>\) dist \([v]+1\) then // Check the distance
                \(\operatorname{dist}[u] \leftarrow \operatorname{dist}[v]+1 \quad / /\) Update distance of \(u\)
                    \(Q \leftarrow \operatorname{Enqueue}(Q, u) \quad / /\) Enqueue \(v_{0}\) in \(Q\)
        lowerBound \(\leftarrow \max _{v \in V}(\operatorname{dist}[v]) \quad / /\) Get higher distance
        return lowerBound
```

Theorem 8. Algorithm 1 returns a lower bound for the optimum of the MBT with input graph $G=(V, E)$ and source set $V_{0}$.

Proof. Let $b^{*}$ be the optimum of MBT for an instance ( $G, V_{0}$ ) and $z$ be the value returned by the LBB-BFS algorithm. Let $v \in V_{0}$ be the closest vertex of $V_{0}$ to a vertex $\ell \in V$, such that the distance between them is exactly $z$. We need at least $z$ steps to reach $\ell$ from any vertex in $V_{0}$. Therefore, $z \leq b^{*}$, i.e., $z$ is a lower bound for the optimum MBT value.

The lower bound is given by

$$
\begin{equation*}
L B\left(G, V_{0}\right)=\max \left(T L B\left(G, V_{0}\right), L B B-B F S\left(G, V_{0}\right)\right), \tag{2.2}
\end{equation*}
$$

where $\operatorname{TLB}\left(G, V_{0}\right)$ is defined in Eq. (2.1) and $\operatorname{LBB}-\operatorname{BFS}\left(G, V_{0}\right)$ is calculated by Algorithm 1. Hence, if the best fitness in the current population is equal to $\operatorname{LB}\left(G, V_{0}\right)$, the algorithm proves that it is an optimal solution.

### 2.2.3 BRKGA decoders for the MBT

The only problem-specific part of BRKGA is the decoder, i.e., the procedure that converts randomkeys (chromosomes vector) into a solution for the problem. The following subsections present the two proposed decoders for the MBT.

### 2.2.3.1 First receive first send decoder

Algorithm 2 describes our first decoder, called FRFS, which is based on the priority decoder of Hoelting et al. (1996). The algorithm receives as input a graph $G(V, E)$, source set $V_{0}$, and chromosomes vector $C r$. It returns as output the MBT for the encoded candidate solution. In this decoder, the chromosomes vector $C r$ has size $|V|$. Each allele $C r[v]$ represents the priority of vertex $v$ to receive a message. The lower the allele value, the higher the priority.

```
Algorithm 2: FRFS decoder.
    Input : Undirected graph: \(G=(V, E)\), Source set: \(V_{0}\), Chromosomes vector: Cr
    Output: Total step time to broadcast: time
    FRFS ( \(G, V_{0}, C r\) )
        time \(\leftarrow 0 \quad\) // Init time
        Transmitters \(\leftarrow V_{0} \quad / /\) Set the initial Transmitters
        Ranking \(\leftarrow\) InitList() // Init Ranking list
        for each \(v \in \operatorname{Sort}\left(V_{0}, C r\right)\) do // Ordering in ascending order of allele value
            Ranking \(\leftarrow\) AppendItem (Ranking,v)
        while Transmitters \(\neq V\) do
            NewTransmitters \(\leftarrow\) InitList () // Set the new transmitters
            for each \(v \in\) Ranking do
                \(u \leftarrow \quad \operatorname{argmin}(C r[u]) \quad / /\) Get the best vertex
                        \(u \in N(v) \backslash\{\) Transmitters \(\cup N e w T\) Transmitters \(\}\)
                if \(u \neq 0\) then
                        NewTransmitters \(\leftarrow\) AppendItem (NewTransmitters, u) // Add the best
                        vertex in NewTransmitters
            for each \(v \in\) NewTransmitters do
                Transmitters \(\leftarrow\) Transmitters \(\cup\{v\}\) // Update Transmitters
                Ranking \(\leftarrow\) AppendItem (Ranking,v) // Update Ranking
            time \(\leftarrow\) time \(+1 \quad / /\) Increment time
        return time
```

The algorithm starts by setting the variables time $=0$ and Transmitters $=V_{0}$ (lines 2-4), where time represents the current time step, set Transmitters the vertices that have the mes-
sage, and list Ranking the order of each transmitter. Next, it appends at list Ranking the vertex $v$ for each vertex $v \in V_{0}$, in ascending order of allele value (lines 4-6). In the main loop (lines 7-16), the variable NewTransmitters indicates the list of vertices that receive the message in step time. This loop is executed until all vertices are in set Transmitters. The main loop starts by scanning, in order, each vertex $v$ from list Ranking and selects the neighbor $u$ of $v$ that has not yet received the message, ties are broken according to the allele value $\mathrm{Cr}[u]$. The selected vertex $u$ is then added to the list NewTransmitters (line 12). In lines 13-15, the vertices in NewTransmitters are added in Transmitters and appended at the end of the list Ranking. Finally, variable time is incremented (line 16). Overall, the worst-case running time of this decoder is $O(|V| \cdot|E|)$.

The graph in Figure 2.4 (with only one source) and chromosomes vector in Table 2.2 show an input example for the decoding procedure. We now describe the FRFS decoding procedure for this example. Initially, vertex $v_{1}$ is designated as the unique transmitter (Figure 2.5-a). Among the neighbors of $v_{1}$, vertex $v_{3}$ has the highest priority value (according to its chromosome value), hence vertex $v_{1}$ sends the message to $v_{3}$ (Figure 2.5-b). In time 2, only vertices $v_{1}$ and $v_{3}$ have received the message. Because of the ordering in the Ranking list of the FRFS algorithm, $v_{1}$ will transmit first to vertex $v_{4}$ (its second highest priority neighbor), and $v_{3}$ transmits to $v_{8}$ (Figure $2.5-\mathrm{c}$ ). Next, in time $3, v_{1}$ transmits to $v_{2}$, and $v_{4}$ transmits to $v_{7}$ (Figure 2.5-d). Finally, in time 4 , the other vertices receive the messages in the following order: $v_{4} \rightarrow v_{6}$ and $v_{7} \rightarrow v_{5}$ (Figure $2.5-\mathrm{e})$.

Figure 2.4: Input graph


Table 2.2: Chromosomes of input

| Vertices | $v_{1}$ | $v_{2}$ | $v_{3}$ | $v_{4}$ | $v_{5}$ | $v_{6}$ | $v_{7}$ | $v_{8}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Value(Cr) | 0.5 | 0.4 | 0.1 | 0.2 | 0.8 | 0.7 | 0.6 | 0.3 |

### 2.2.3.2 First Receive First Send with SCHA improvement

Preliminary experiments with the FRFS decoder have shown that this decoder has a poor performance in sparse graphs. This motivated us to devise a new decoder, called FRFS-SCHA. This new decoder combines FRFS with a greedy method, called SCHA, that is able to find in polynomial time the optimal MBT on forest graphs. More specifically, in FRFS-SCHA, we use an adaptation of the FRFS decoder to produce a forest that is then used as input to the SCHA algorithm. In the following, we give more details about FRFS-SCHA.

Algorithm 3 introduces SCHA. This algorithm receives as input a forest graph $F(V, E)$ and source set $V_{0}$. It returns as output the optimal MBT for the forest. SCHA iteratively calls function MBT-Tree (line 5), which is an algorithm proposed by Su et al. (2010); Koh and Tcha (1991) for


Figure 2.5: FRFS decoding procedure.
finding the MBT on tree graphs. Hence, SCHA finds the optimal MBT by calculating the MBT of each tree in the forest, and then returning the greatest MBT among these trees. Given that the asymptotic complexity of MBT-Tree is $O\left(|V| \cdot \log _{2}(|V|)\right)$, SCHA is $O\left(|V| \cdot \log _{2}(|V|)\right)$.

```
Algorithm 3: SCHA.
    Input : Forest undirected: \(F=(V, E)\), Source set: \(V_{0}\)
    Output: Total step time to broadcast: time
    SCHA ( \(F, V_{0}\) )
        time \(\leftarrow 0 \quad\) |/ Init time
        for each \(v_{0} \in V_{0}\) do
            \(T \leftarrow \operatorname{GetTree}\left(F, v_{0}\right) \quad / /\) Get the tree with root \(v_{0}\)
            time \(\leftarrow \max \left(\right.\) time, \(\left.\operatorname{MBT}-\operatorname{Tree}\left(T, v_{0}\right)\right) \quad / / \mathrm{MBT}\) of tree \(T\) from source \(\nu_{0}\)
        return time
```

Our new decoder FRFS-SCHA is depicted in Algorithm 4. In lines 2-16, FRFS was adapted to produce a forest. In line 17, this forest is used as input to SCHA, which calculates the resulting MBT. Because of the complexity of FRFS is $O(|V| \cdot|E|)$ and SCHA is $O\left(|V| \cdot \log _{2}|V|\right)$, the worstcase running time of this decoder is $O(|V| \cdot|E|)$.

Figure 2.6 -b shows the resulting forest for the input example in Figure 2.4 and Table 2.2. The solution obtained after the SCHA procedure is applied to this forest is depicted in Fig 2.6-a. Note this solution is better than the solution previously obtained with the original FRFS (Figure 2.5-e).

```
Algorithm 4: FRFS-SCHA decoder.
    Input : Undirected graph: \(G=(V, E)\), Source set: \(V_{0}\), Chromosomes vector: Cr
    Output: Total step time to broadcast: time
    FRFS-SCHA ( \(G, V_{0}, C r\) )
        \(E_{F} \leftarrow \emptyset \quad / /\) Init the set of edges from the forrest graph
        Transmitters \(\leftarrow V_{0} \quad / /\) Set the initial Transmitters
        Ranking \(\leftarrow\) InitList () // Init Ranking list
        for each \(v \in \operatorname{Sort}\left(V_{0}, C r\right)\) do // Ordering in ascending order of allele value
            Ranking \(\leftarrow\) AppendItem (Ranking,v)
        while Transmitters \(\neq V\) do
            NewTransmitters \(\leftarrow\) InitList () // Set the new transmitters
            for each \(v \in\) Ranking do
                \(u \leftarrow \quad \operatorname{argmin}(\boldsymbol{C r}[\boldsymbol{u}]) \quad / /\) Get the best vertex
                        \(u \in N(v) \backslash\{\) Transmitters \(\cup\) NewTransmitters \(\}\)
                if \(u \neq 0\) then
                        NewTransmitters \(\leftarrow\) AppendItem (NewTransmitters, \(\boldsymbol{u}\) ) // Add the best
                        vertex in NewTransmitters
                            \(E_{F} \leftarrow E_{F} \cup\{(v, u)\} \quad\) // Add the edge in \(E_{F}\)
            for each \(v \in\) NewTransmitters do
                Transmitters \(\leftarrow\) Transmitters \(\cup\{v\}\) // Update Transmitters
                Ranking \(\leftarrow\) AppendItem (Ranking,v) // Update Ranking
        return \(\operatorname{SCHA}\left(\left(V, E_{F}\right), V_{0}\right) \quad / /\) compute MBT of forest with SCHA
```


(a) $t=0$

(b) $t=1$

Figure 2.6: FRFS-SCHA decoding procedure.

### 2.2.4 Proposed matheuristic

This section describes the proposed matheuristic, which combines an extension of the ILP model of de Sousa et al. (2018) and our BRKGA. To the best of our knowledge, this is the first work to propose a matheuristic for the MBT.

This is a small extension for the ILP model of de Sousa et al. (2018). Different from the original model, ILP extension considers graphs with multi-source (i.e., $\left|V_{0}\right|>1$ ). Let $V=\{0,1, \cdots, n-1\}$ be the set of vertices, $V_{0}$ the set of sources, $E$ the set of connections between two vertices, and $N(i)$ the set of neighboring vertices of vertex $i$. In the model, $K_{i}$ is a binary constant indicating whether or not vertex $i$ is in $V_{0}$, and $T_{\max }$ a constant that represents
an upper limit on the time in which a vertex receives the message (e.g., $T_{\max }=|V|-\left|V_{0}\right|$ is the trivial limit). Finally, define $T$ as a decision variable that represents the minimum broadcast time, and $x_{i j}^{t}$ as a binary variable that has value 1 if the vertex $i$ sends the message to the vertex $j$ in time $t$ and 0 , otherwise. Based on these elements, the ILP model is as follows:
$\min T$
$\begin{array}{ll}\text { s. t } & K_{i}+\sum_{j \in N(i)} \sum_{t=1}^{T_{\max }} x_{j i}^{t}=1 \\ & \sum_{j \in N(i)} x_{i j}^{t} \leq 1\end{array} \forall i \in V$
$x_{i j}^{t} \leq K_{i}+\sum_{\tau=1}^{t-1} \sum_{k \in N(i) \backslash\{j\}} x_{k i}^{\tau} \quad \forall(i, j) \in E, \forall t \in\left[1, T_{\text {max }}\right]$ $\sum_{t=1}^{T_{m a x}} t \cdot x_{i j}^{t} \leq T$
$\forall(i, j) \in E$
$T \in \mathbb{N}$
$x_{i j}^{t} \in \mathbb{B} \quad \forall(i, j) \in E, \forall t \in\left[1, T_{\text {max }}\right]$

Equation (2.3) is the objective function. Constraints (2.4) limit each vertex to receive only one message at a time or to start with it. Constraints (2.5) require that each vertex sends at most one message to a neighbor in each $t$. Constraints (2.6) establish that each vertex can only transmit if it has already received the message. Constraints (2.7) state that the value of $T$ must be greater than or equal to the time of any transmission. Finally, Constraints (2.8) and (2.9) define the domain of the decision variables.

Figure 2.7 illustrates the main ideas of the proposed matheuristic. First, given a problem instance, the matheuristic uses BRKGA to generate and maintain a pool of candidate solutions. After some BRKGA iterations without improvement in this pool, the matheuristic combines the solutions in the pool through a merging process. The merging process generates a subgraph that contains the original instance vertices and some edges from the solutions in the pool. Next, the matheuristic uses the ILP model to solve the problem instance induced by this subgraph. Note that solving the induced problem instance is far easier than solving the original instance. The solution obtained through the ILP model is then added to the pool of solutions, and some other solution is removed from the pool. The process in Figure 2.7 is then repeated until a stop criterion is met.

Algorithm 5 details the proposed matheuristic. It starts with an initial pool of candidate solutions $P$ generated through BRKGA (line 3). In the main loop (lines 5-28), the algorithm uses BRKGA to evolve the current pool of solutions, and then it checks if this pool has improved. If


Figure 2.7: Proposed matheristic: pool of solutions merging and solving process.
no improvement is achieved after maxIt iterations, the merging process begins. In the merging process, the subgraph $G_{S}\left(V, E_{S}\right)$ is created by adding the edges from the best solutions in the pool until the edge density of the subgraph is greater than or equal to $d$ (lines 15-22). After the subgraph is created, the algorithm uses the lower bound given in Eq. (2.2) to check if a better solution than the best current one $S^{*}$ can be found. Note this checking is useful to avoid unnecessary ILP model solving, which can be quite costly. If the subgraph passes this filter, the algorithm solves the ILP model using a standard model solver. The model solver is invoked with $S^{*}$ as the initial solution and a time limit of $t_{I L P}$. If the ILP solution returned by the model solver $S_{I L P}$ is better than $S^{*}$, then we remove $S^{*}$ from $P$, add $S_{I L P}$ in $P$, and update $S^{*}$ with $S_{I L P}$ (lines 25-28).

```
Algorithm 5: Proposed matheuristic for the MBT.
    Input : Undirected graph: \(G=(V, E)\), Source set: \(V_{0}\), Time limit for each running of ILP: \(t_{I L P}\),
            Minimal density of subgraph: \(d\),
            Maximum number of generations without improvement: maxIt
    Output: Schedule Broadcast: \(S\)
    \(\mathrm{BRKGA}+\operatorname{ILP}\left(G, V_{0}, t_{I L P}, d, \max I t\right)\)
        \(P \leftarrow\) BRKGA_Init \(\left(G, V_{0}\right) \quad / /\) Inicialize the first BRKGA population
        \(S^{*} \leftarrow\) GetBestIndividual \((P) \quad / /\) Get the best individual of the population
        noImprovement \(\leftarrow 0\)
        while stopping criterion not met do
            \(P \leftarrow\) BRKGA_Evolve \((P) \quad / /\) Evolve population
            \(S \leftarrow\) GetBestIndividual \((P) \quad / /\) Get the best individual of the
            population
            if Fitness \((S)<\) Fitness \(\left(S^{*}\right)\) then \(/ /\) Check if the population has improved
                \(S^{*} \leftarrow S \quad\) // Update \(S^{*}\)
                noImprovement \(\leftarrow 0\)
            else
                noImprovement \(\leftarrow\) noImprovement +1
            if noImprovement = maxIt then // If no improvement after \(I\) generations
                noImprovement \(\leftarrow 0\)
                \(E_{S} \leftarrow\) GetEdges \(\left(S^{*}\right)\)
                \(E_{P} \leftarrow\) InitList() // Let \(E_{P}\) a empty list
                for each \(S\) in \(\operatorname{Sort}\left(P \backslash S^{*}\right)\) do // For each solution \(S\) in \(P\) (in fitness
                    order)
                        \(E_{P} \leftarrow\) AppendItems (GetEdges \(\left.(S)\right) \quad / /\) Append the edges of \(S\) in \(E_{P}\)
                for each e in \(E_{P}\) do // For each edge \(e\) in \(E_{P}\)
                if Density \(\left(\left(V, E_{S}\right)\right) \geq d\) then
                    break
                \(E_{S} \leftarrow E_{S} \cup\{e\} \quad\) // Add the edge \(e\) in \(E_{S}\)
                if LB \(\left(\left(V, E_{S}\right), V_{0}\right)<\) Fitness \(\left(S^{*}\right)\) then // Check if a better solution can
                    be found
                \(S_{I L P} \leftarrow \operatorname{ILP}-\mathrm{MBT}\left(\left(V, E_{S}\right), V_{0}, S^{*}, t_{I L P}\right)\)
                                    // Run ILP
                if Fitness \(\left(S_{I L P}\right)<\) Fitness \(\left(S^{*}\right)\) then // Check if \(S_{I L P}\) is better
                            \(P \leftarrow P \backslash\left\{S^{*}\right\} \quad\) // Remove solution \(S^{*}\) on \(P\)
                            \(P \leftarrow P \cup\left\{S_{I L P}\right\} \quad / /\) Add solution \(S_{I L P}\) in \(P\)
                        \(S^{*} \leftarrow S_{I L P} \quad / /\) Update \(S^{*}\)
            return \(S^{*}\)
```


### 2.3 Computacional results of the MBT

This section presents the computational experiments conducted to evaluate the effectiveness of our proposed BRKGA and matheuristic. The proposed algorithms are compared with the following state-of-the-art approaches: (i) the Ant Colony metaheuristic (ACS) from Hasson and Sipper (2004); (ii) the ILP model from de Sousa et al. (2018); and (iii) the constructive heuristic TreeBlock from de Sousa et al. (2018).

Since we could not obtain the source code of ACS, we implemented our own version of this algorithm based on its original definitions. We did not implement the GA approach of (Hoelting et al., 1996) because the results reported in Hasson and Sipper (2004) are enough to conclude that ACS outperforms it.

To test the effectiveness of the SCHA decoding approach, we developed two versions of our BRKGA: one without SCHA (Algorithm 2), called BRKGA_FRFS, and one with SCHA (Algorithm 4), called BRKGA. The effectiveness of SCHA was also tested on the proposed matheuristic, hence two versions of the matheuristic were devised: one without SCHA, called BRKGA_FRFS+ILP, and one with SCHA, called BRKGA+ILP.

All experiments in this section were conducted on an Intel Core i7-6700 with $3.40 \mathrm{GHz}, 32$ GB of RAM, running Ubuntu 18.04.5. The heuristic algorithms were coded in $\mathrm{C}++$ and compiled with g++ 7.5 and '-O3' flag. The BRKGA C++ framework developed by Toso and Resende (2015) has been used to implement our BRKGA. Moreover, IBM Cplex 12.9 has been adopted to solve the ILP models.

### 2.3.1 Instances

The algorithms were tested on a total of 142 instances, which include:

- Harary graphs (16 instances): These are the same instances used in the work of de Sousa et al. (2018). A Harary graph, denoted by $H_{k, n}$, is a $k$-connected graph with $n$ vertices having the smallest possible number of edges.
- Others graphs ( 25 instances): We also included the instances we were able to reproduce from the works of Harutyunyan and Wang (2010); Harutyunyan and Jimborean (2014). These instances are hypercube (6 instances), shuffle exchange (7 instances), cube-connected cycles graphs ( 5 instances), and deBruijn ( 7 instances).
- Network Data Repository ${ }^{1}$ (59 instances): Because the MBT instances used in previous works (Scheuermann and Wu, 1984; Hoelting et al., 1996; Hasson and Sipper, 2004) are no longer available, we have chosen some instances from well-established benchmarks of complex network problems. To cover different industry scenarios, we consider instances based on connected small-world networks with 100 or 1000 vertices (Freitas et al., 2019).

[^0]We have chosen the small-world model because it is commonly used to represent communication networks in industrial scenarios, as suggested in (Guidoni et al., 2010; Cabral et al., 2013).

- Large synthetic instances based on Binomial Tree (42 instances): Given that the optimal solution for large MBT instances is often unknown, we generated a new benchmark with known optimal solutions using the following procedure. Let the instance $G=(V, E)$ be defined by the union of a binomial tree $B_{k}=\left(V_{B}, E_{B}\right)$ and a random graph $G_{r}=\left(V_{r}, E_{r}\right)$, where $V=V_{B}=V_{r}$ and $E=E_{B} \cup E_{r}$. The binomial tree $B_{k}$ is an ordered tree defined recursively as follows: (i) $B_{0}$ is a trivial graph, (ii) $B_{k}$ is constructed from two binomial trees $B_{k-1}$ by attaching one of them as the rightmost (can be leftmost) child of the root of the other. Figure 2.1 shows the binomial trees $B_{0}$ through $B_{3}$. Note that the MBT of a binomial tree $B_{k}$ is equal to $k$ if the root of $B_{k}$ is in $V_{0}$. The random graph $G_{r}$ is based on the $\mathbb{G}(n, p)$ model, also known as binomial model (Gilbert, 1959). Each graph $G_{r}=\left(V, E_{r}\right)$ is generated with $n$ vertices and each potential edge in $E_{r}$ is created with probability $p$. In Figure 2.2-b, we apply an union of graphs $B_{3}$ and $G_{r}$, obtaining a synthetic instance $G$ with 8 vertices.

For much more detail of instances, see Appendix A.1.

### 2.3.2 Parameter settings and experimental protocol

In our experiments with the ACS algorithm, we adopted the same parameter settings indicated by its authors. Moreover, we have used the irace tunning tool (López-Ibáñez et al., 2016) to configure the parameters of our algorithms and their variations. The best parameter settings identified by the tuning experiment are reported in Table 2.3. In this table, BRKGA parameters $p$, $p_{e}, p_{m}, \rho_{e}$, and $K$ represent, respectively, number of individuals in each population, percentage of elite individuals into each population, percentage of mutants introduced at each generation into the population, probability that an offspring inherits the allele of its elite parent, and the number of independent populations. Parameters $d$ and $t_{I L P}$ are used only in the matheuristic and represent, respectively, density of subgraph $G_{S}\left(V, E_{S}\right)$ and time limit for the ILP model solver. The matheuristic has an additional parameter maxIt (maximum number of generations without improvement), which was experimentally set to a linear function that depends on $|V|$ : maxIt $=550-\left\lceil\frac{|V|}{10}\right\rceil$, where $20 \leq$ maxIt $\leq 500$.

Table 2.3: Range considered by IRACE and best parameter settings obtained.

| Parameter | Value ranges | BRKGA_FRFS | BRKGA | BRKGA_FRFS+ILP | BRKGA+ILP |
| :--- | :---: | :---: | :---: | :---: | :---: |
| $p$ | - | $\|V\|$ | $\|V\|$ | $\|V\|$ | $\|V\|$ |
| $p_{e}$ | $0.10,0.11, \ldots, 0.25$ | 0.16 | 0.11 | 0.16 | 0.11 |
| $p_{m}$ | $0.10,0.11, \ldots, 0.30$ | 0.11 | 0.19 | 0.11 | 0.19 |
|  |  |  |  | Continued on next page |  |

Table 2.3 - continued from previous page

| Parameter | Value ranges | BRKGA_FRFS | BRKGA | BRKGA_FRFS+ILP | BRKGA+ILP |
| :--- | :---: | :---: | :---: | :---: | :---: |
| $\rho_{e}$ | $0.50,0.51, \ldots, 0.80$ | 0.69 | 0.53 | 0.69 | 0.53 |
| $K$ | - | 1 | 1 | 1 | 1 |
| $d$ | $0.10,0.11, \ldots, 1.00$ | - | - | 0.85 | 0.30 |
| $t_{I L P}$ | $5.00,5.01, \ldots, 25.00$ | - | - | 13.31 | 18.01 |

We have set a time limit of $3600 \mathrm{~s}(1 \mathrm{~h})$ to Cplex for solving the ILP models from de Sousa et al. (2018). To assess the average performance of the heuristic algorithms (BRGKAs and ACS), we have performed 10 runs in each benchmark instance with different random seeds for each run. A time limit of 60 s has been used for these runs.

### 2.3.3 Experiments on Harary Graphs

Table 2.4 compares BRKGA_FRFS with the heuristic approaches ACS and TreeBlock in Harary instances. The methods are compared using the following criteria: the best, the average, the worst solution obtained (columns 'Best', 'Avg.' and 'Worst', respectively), as well as the average CPU time to find the best solution (column 't (s)'). An asterisk means that the method has been able to prove the optimality of a given result. If in a run the heuristic fails to attain the best solution, its CPU time to find the best in this run is considered to be the cutoff time of 60 seconds. The bottom of Table 2.4 shows a summary that includes: number of instances in which the method found the best broadcast time, number of instances in which the algorithm determined the best average broadcast time, and the average of the average CPU time values to find the best solution. The results of TreeBlock are reproduced from its original paper.

Table 2.4: Comparative results of ACS, Treeblock and BRKGA_FRFS.

| Instance | ACS |  |  |  | Treeblock | BRKGA_FRFS |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Best | Avg. | Worst | t (s) | Best | Best | Avg. | Worst | t (s) |
| $H_{10,30}$ | 5* | 5.00 | 5 | 0.10 | 6 | 5* | 5.00 | 5 | $<0.01$ |
| $H_{11,50}$ | 6* | 6.00 | 6 | $<0.01$ | 7 | 6* | 6.00 | 6 | $<0.01$ |
| $\mathrm{H}_{20,50}$ | $6^{*}$ | 6.00 | 6 | $<0.01$ | 8 | $6^{*}$ | 6.00 | 6 | $<0.01$ |
| $\mathrm{H}_{21,50}$ | $6^{*}$ | 6.00 | 6 | $<0.01$ | 7 | 6* | 6.00 | 6 | < 0.01 |
| $H_{2,100}$ | 50* | 50.00 | 50 | $<0.01$ | 50 | 50* | 50.00 | 50 | < 0.01 |
| $H_{2,17}$ | 9* | 9.00 | 9 | $<0.01$ | 9 | 9* | 9.00 | 9 | < 0.01 |
| $H_{2,30}$ | 15* | 15.00 | 15 | $<0.01$ | 15 | 15* | 15.00 | 15 | < 0.01 |
| $H_{2,50}$ | 25* | 25.00 | 25 | $<0.01$ | 25 | 25* | 25.00 | 25 | $<0.01$ |
| $H_{3,17}$ | 5* | 5.00 | 5 | $<0.01$ | 5 | 5* | 5.00 | 5 | < 0.01 |
| $H_{3,30}$ | 9* | 9.00 | 9 | $<0.01$ | 9 | 9* | 9.00 | 9 | < 0.01 |
| $H_{3,50}$ | $14 *$ | 14.00 | 14 | $<0.01$ | 14 | 14* | 14.00 | 14 | < 0.01 |
| $H_{5,17}$ | 5* | 5.00 | 5 | $<0.01$ | 5 | 5* | 5.00 | 5 | < 0.01 |
| $H_{6,17}$ | 5* | 5.00 | 5 | $<0.01$ | 5 | 5* | 5.00 | 5 | < 0.01 |
| $H_{7,17}$ | 5* | 5.00 | 5 | $<0.01$ | 5 | 5* | 5.00 | 5 | < 0.01 |
| $H_{8,30}$ | 6 | 6.00 | 6 | 60.00 | 6 | 5* | 5.00 | 5 | 0.02 |
| $H_{9,30}$ | 5* | 5.00 | 5 | $<0.01$ | 6 | 5* | 5.00 | 5 | < 0.01 |
| \# Best | 15 |  |  |  | 10 | 16 |  |  |  |
|  |  |  |  |  |  | Continued on next page |  |  |  |

Table 2.4 - continued from previous page

| Instance | ACS |  |  |  | Treeblock | BRKGA_FRFS |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Best | Avg. | Worst | t (s) | Best | Best | Avg. | Worst | t (s) |
| \# Best Avg. | 15 |  |  |  | - | 16 |  |  |  |
| Avg. t (s) | 3.76 |  |  |  | - | 0.01 |  |  |  |

The results in Table 2.4 show that our BRKGA version without SCHA outperforms both ACS and TreeBlock. In particular, BRKGA_FRFS was able to prove the optimal solution for all Harary graph instances in milliseconds.

Next, we compare in Table 2.5 our BRKGA_FRFS with the ILP from de Sousa et al. (2018). Five variants of this model were considered: (i) the original model without bounds, (ii) the model with the lower bound defined in Eq. (2.1), (iii) the model with the lower bound defined in Eq. (2.2), (iv) the model with an upper bound determined by our BRKGA_FRFS, and (v) the model with upper and lower bounds.

It is possible to observe in the results of Table 2.5 that the utilization of bounds in the ILP model effectively reduced the computational time. Without the upper bounds provided by our BRKGA_FRFS, instances $H_{11,50}, H_{20,50}$, and $H_{21,50}$ could not be optimally solved by the ILP model. In instances $H_{2,100}, H_{2,30}$ and $H_{3,50}$, the ILP model with the proposed lower bound outperformed the model with the theoretical lower bound defined in Eq. (2.1). Indeed, for these three instances, $L B B-B F S\left(G, V_{0}\right)>T L B\left(G, V_{0}\right)$ (Table A.1). Additionally, BRKGA_FRFS used less CPU time to prove the optimal solution than all ILP model variants.

Table 2.5: Comparative results of BRKGA_FRFS and ILPs.

| Instance | de Sousa et al's ILP |  |  |  |  |  |  |  |  |  | BRKGA_FRFS |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | No Bounds |  | Lower Bound - Eq. (2.1) |  | Lower Bound - Eq. (2.2) |  | Upper Bound |  | Both Bounds |  |  |  |  |
|  | Best | t (s) | Best | t (s) | Best | t (s) | Best | t (s) | Best | t (s) | Best | Avg. | t(s) |
| $H_{10,30}$ | 5* | 8.91 | 5* | 44.01 | 5* | 43.63 | 5* | 1.71 | 5* | 0.11 | 5* | 5.00 | $<0.01$ |
| $H_{11,50}$ | 6 | 3600 | 6* | 2431.80 | 6* | 2472.72 | 6 | 3600 | 6* | 0.17 | 6* | 6.00 | $<0.01$ |
| $\mathrm{H}_{20,50}$ | 6* | 890.20 | 6* | 0.07 | 6* | 0.07 | 6 | 3600 | 6* | 0.01 | 6* | 6.00 | $<0.01$ |
| $\mathrm{H}_{21,50}$ | 6 | 3600 | 6* | 0.07 | 6* | 0.07 | 6 | 3600 | 6* | 0.01 | 6* | 6.00 | $<0.01$ |
| $\mathrm{H}_{2,100}$ | 50* | 9.71 | 50* | 7.71 | 50* | 0.13 | 50* | 0.76 | 50* | 0.76 | 50* | 50.00 | $<0.01$ |
| $H_{2,17}$ | 9* | 0.02 | 9* | 0.02 | 9* | 0.02 | 9* | 0.01 | 9* | 0.01 | 9* | 9.00 | $<0.01$ |
| $H_{2,30}$ | 15* | 0.08 | 15* | 0.08 | 15* | $<0.01$ | 15* | 0.02 | 15* | 0.02 | 15* | 15.00 | $<0.01$ |
| $H_{2,50}$ | 25* | 1.06 | 25* | 0.82 | 25* | 0.01 | 25* | 0.09 | 25* | 0.09 | 25* | 25.00 | $<0.01$ |
| $H_{3,17}$ | 5* | 0.09 | 5* | 0.03 | 5* | 0.03 | 5* | 0.01 | 5* | $<0.01$ | 5* | 5.00 | $<0.01$ |
| $H_{3,30}$ | 9* | 0.72 | 9* | 0.61 | 9* | 0.36 | 9* | 0.03 | 9* | 0.03 | 9* | 9.00 | $<0.01$ |
| $H_{3,50}$ | 14* | 3.23 | 14* | 2.33 | $14 *$ | 0.64 | 14* | 0.09 | 14* | 0.09 | 14* | 14.00 | $<0.01$ |
| $H_{5,17}$ | 5* | 0.61 | 5* | $<0.01$ | 5* | $<0.01$ | 5* | 0.52 | 5* | $<0.01$ | 5* | 5.00 | $<0.01$ |
| $H_{6,17}$ | 5* | 0.61 | 5* | $<0.01$ | 5* | $<0.01$ | 5* | 0.36 | 5* | $<0.01$ | 5* | 5.00 | $<0.01$ |
| $H_{7,17}$ | 5* | 61.49 | 5* | $<0.01$ | 5* | $<0.01$ | 5* | 134.06 | 5* | $<0.01$ | 5* | 5.00 | $<0.01$ |
| $H_{8,30}$ | 5* | 3.78 | 5* | 1.42 | 5* | 1.42 | 5* | 0.20 | 5* | 0.10 | 5* | 5.00 | 0.02 |
| $H_{9,30}$ | 5* | 14.72 | 5* | 29.79 | 5* | 29.73 | 5* | 8.43 | 5* | 0.08 | 5* | 5.00 | $<0.01$ |
| \# Best | 16 |  | 16 |  | 16 |  | 16 |  | 16 |  | 16 |  |  |
| Avg. t (s) | 512.19 |  | 157.42 |  | 159.30 |  | 684.12 |  | 0.09 |  | 0.01 |  |  |

### 2.3.4 Experiments on others instances from literature

Table 2.6 compares the methods on the instances we were able to reproduce from the works of Harutyunyan and Wang (2010); Harutyunyan and Jimborean (2014). In the experiments shown in this table, we use the BRKGA version with SCHA decoding. The results for NTBA and NEWH were reproduced from their original papers (Harutyunyan and Wang, 2010; Harutyunyan and Jimborean, 2014). A hyphen in Table 2.6 indicates we do not have the method result for an instance or the method could not produce a feasible solution. Once again, the results show that BRKGA is competitive in terms of both solution quality and computational efficiency relative the best performing methods in the literature.

Table 2.6: Comparative results of NEWH, NTBA, ILP, ACS and BRKGA

| Instance | Treeblock | NTBA | NEWH | ILP (Both bounds) |  | ACS |  |  | BRKGA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Best | Best | Best | Best (GAP) | t (s) | Best | Avg. | t (s) | Best | Avg. | t (s) |
| $\mathrm{HC}_{5}$ | 5 | 5 | 5 | 5* | $<0.01$ | 5* | 5.00 | $<0.01$ | 5* | 5.00 | $<0.01$ |
| $\mathrm{HC}_{6}$ | 6 | 7 | 6 | 6* | $<0.01$ | 6* | 6.00 | $<0.01$ | 6* | 6.00 | $<0.01$ |
| $\mathrm{HC}_{7}$ | 7 | 9 | 7 | 7* | 0.02 | 7* | 7.00 | 0.01 | 7* | 7.00 | 0.16 |
| $\mathrm{HC}_{8}$ | 8 | 11 | 8 | 8* | 0.07 | 8* | 8.00 | 0.01 | 9 | 9.00 | 60.00 |
| $\mathrm{HC}_{9}$ | 9 | 14 | 9 | 9* | 0.39 | 9* | 9.00 | 0.02 | 10 | 10.00 | 60.00 |
| $H C_{10}$ | 10 | 15 | 10 | 10* | 2.02 | 10* | 10.00 | 0.23 | 11 | 11.00 | 60.00 |
| $\mathrm{CCC}_{3}$ | - | 6 | 7 | 6* | 0.04 | 6* | 6.00 | 0.04 | 6* | 6.00 | < 0.01 |
| $\mathrm{CCC}_{4}$ | - | 9 | 9 | 9* | 0.14 | 9* | 9.00 | 0.01 | 9* | 9.00 | $<0.01$ |
| $\mathrm{CCC}_{5}$ | - | 11 | 12 | 11* | 0.57 | 12 | 12.00 | 60.00 | 11* | 11.00 | $<0.01$ |
| $\mathrm{CCC}_{6}$ | - | 14 | 14 | 13* | 4.82 | 14 | 14.90 | 60.00 | 13* | 13.90 | 55.83 |
| $\mathrm{CCC}_{7}$ | - | 16 | 17 | - | 3600.00 | 17 | 17.80 | 60.00 | 16 | 16.00 | 1.37 |
| $D B_{4}$ | 4 | 5 | 5 | - | 3600.00 | 5 | 5.00 | 60.00 | 5 | 5.00 | 60.00 |
| $D B_{5}$ | 7 | 7 | 7 | - | 3600.00 | 6* | 6.00 | 1.27 | 6* | 6.00 | $<0.01$ |
| $D B_{6}$ | 8 | 8 | 8 | - | 3600.00 | 8 | 8.00 | 0.03 | 8 | 8.00 | $<0.01$ |
| $D B_{7}$ | 12 | 10 | 10 | - | 3600.00 | 10 | 10.00 | 60.00 | 9 | 9.00 | 0.60 |
| $D B_{8}$ | 12 | 12 | 12 | - | 3600.00 | 12 | 12.00 | 60.00 | 11 | 11.00 | < 0.01 |
| $D B_{9}$ | 14 | 13 | 13 | - | 3600.00 | 14 | 14.00 | 60.00 | 13 | 13.00 | $<0.01$ |
| $D B_{10}$ | 15 | 15 | 15 | - | 3600.00 | 16 | 16.00 | 60.00 | 14 | 14.20 | 21.48 |
| $S E_{4}$ | - | 7 | 7 | 7* | 0.02 | 7 | 7.00 | $<0.01$ | 7 | 7.00 | $<0.01$ |
| $S E_{5}$ | - | 9 | 9 | 9* | 0.02 | 9* | 9.00 | 0.01 | 9* | 9.00 | $<0.01$ |
| $S E_{6}$ | - | 11 | 11 | 11* | 0.05 | 11* | 11.00 | 0.01 | 11* | 11.00 | < 0.01 |
| $S E_{7}$ | - | 13 | 13 | 13* | 0.25 | 13* | 13.00 | 0.01 | 13* | 13.00 | $<0.01$ |
| $S E_{8}$ | - | 15 | 15 | 15* | 1.21 | 15* | 15.00 | 0.04 | 15* | 15.00 | 0.34 |
| $S E_{9}$ | - | 18 | 18 | 17* | 12.65 | 17* | 17.00 | 0.04 | 18 | 18.00 | 60.00 |
| $S E_{10}$ | - | 20 | 20 | - | 3600.00 | 19* | 19.00 | 0.07 | 20 | 20.00 | 60.00 |
| \# Best | 8/13 | 13/25 | 15/25 | 16/25 |  | 17/25 |  |  | 19/25 |  |  |
| \# Best Avg. | 8/13 | 13/25 | 15/25 | 16/25 |  | 17/25 |  |  | 18/25 |  |  |
| Avg. t (s) | - | - | - | 1296.89 |  | 19.27 |  |  | 17.59 |  |  |

### 2.3.5 Experiments on Small-World and synthetic instances

Table 2.7 compares BRKGA_FRFS with ACS and the ILP model with both bounds in the SmallWorld and synthetic instances. In this table, we have added a GAP information for instances in which the ILP model did not prove the optimal solution.

Table 2.7: Comparative results of ACS, ILP and BRKGA_FRFS

| Instance | ACS |  |  | ILP (Both bounds) |  | BRKGA_FRFS |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Best | Avg. | t (s) | Best (GAP) | t (s) | Best | Avg. | t (s) |
| $B_{4}$ | 4* | 4.00 | $<0.01$ | 4* | $<0.01$ | 4* | 4.00 | < 0.01 |
| $B_{5}$ | 5* | 5.00 | < 0.01 | 5* | $<0.01$ | 5* | 5.00 | 2.03 |
| $B_{6}$ | 6* | 6.00 | < 0.01 | 6* | $<0.01$ | 6* | 6.80 | 55.04 |
| $B_{7}$ | 7* | 7.00 | < 0.01 | 7* | 0.01 | 8 | 8.80 | 60.00 |
| $B_{8}$ | 8* | 8.00 | 0.01 | 8* | 0.06 | 10 | 10.60 | 60.00 |
| $B_{9}$ | 9* | 9.00 | 0.08 | 9* | 0.35 | 12 | 12.30 | 60.00 |
| $B_{5} \cup \mathbb{G}(32,5 \%)$ | 6 | 6.00 | 60.00 | 5* | 0.01 | 5* | 5.90 | 58.45 |
| $B_{5} \cup \mathbb{G}(32,7.5 \%)$ | 6 | 6.00 | 60.00 | 5* | 0.06 | 5* | 5.10 | 15.21 |
| $B_{5} \cup \mathbb{G}(32,10 \%)$ | 5* | 5.00 | 2.28 | 5* | 0.02 | 5* | 5.00 | 0.15 |
| $B_{5} \cup \mathbb{G}(32,15 \%)$ | 5* | 5.00 | 2.25 | 5* | 0.02 | 5* | 5.00 | 0.02 |
| $B_{5} \cup \mathbb{G}(32,20 \%)$ | 5* | 5.00 | 0.03 | 5* | 0.11 | 5* | 5.00 | < 0.01 |
| $B_{5} \cup \mathbb{G}(32,25 \%)$ | 5* | 5.00 | 0.05 | 5* | 0.15 | 5* | 5.00 | < 0.01 |
| $B_{6} \cup \mathbb{G}(64,5 \%)$ | 7 | 7.00 | 60.00 | 6* | 1.02 | 7 | 7.00 | 60.00 |
| $B_{6} \cup \mathbb{G}(64,7.5 \%)$ | 7 | 7.00 | 60.00 | $6^{*}$ | 2.34 | 7 | 7.00 | 60.00 |
| $B_{6} \cup \mathbb{G}(64,10 \%)$ | 7 | 7.00 | 60.00 | $6{ }^{*}$ | 0.49 | 6* | 6.00 | 3.65 |
| $B_{6} \cup \mathbb{G}(64,15 \%)$ | 6* | 6.00 | 5.57 | $6{ }^{*}$ | 1.17 | $6^{*}$ | 6.00 | 0.02 |
| $B_{6} \cup \mathbb{G}(64,20 \%)$ | 6* | 6.00 | 0.68 | $6 *$ | 1.78 | $6{ }^{*}$ | 6.00 | < 0.01 |
| $B_{6} \cup \mathbb{G}(64,25 \%)$ | 6* | 6.00 | 0.32 | 6* | 2.16 | 6* | 6.00 | < 0.01 |
| $B_{7} \cup \mathbb{G}(128,5 \%)$ | 8 | 8.00 | 60.00 | 7* | 65.50 | 8 | 8.00 | 60.00 |
| $B_{7} \cup \mathbb{G}(128,7.5 \%)$ | 8 | 8.00 | 60.00 | 7* | 81.61 | 8 | 8.00 | 60.00 |
| $B_{7} \cup \mathbb{G}(128,10 \%)$ | 8 | 8.00 | 60.00 | 7* | 45.78 | 7* | 7.00 | 2.06 |
| $B_{7} \cup \mathbb{G}(128,15 \%)$ | 8 | 8.00 | 60.00 | 7* | 1643.97 | 7* | 7.00 | 0.04 |
| $B_{7} \cup \mathbb{G}(128,20 \%)$ | 7* | 7.00 | 2.09 | 7* | 204.40 | 7* | 7.00 | 0.01 |
| $B_{7} \cup \mathbb{G}(128,25 \%)$ | 7* | 7.00 | 1.41 | 7* | 239.89 | 7* | 7.00 | < 0.01 |
| $B_{8} \cup \mathbb{G}(256,5 \%)$ | 9 | 9.00 | < 0.01 | 9(11.11\%) | 3600 | 9 | 9.00 | < 0.01 |
| $B_{8} \cup \mathbb{G}(256,7.5 \%)$ | 9 | 9.00 | 60.00 | - | 3600 | 8* | 8.90 | 56.79 |
| $B_{8} \cup \mathbb{G}(256,10 \%)$ | 9 | 9.00 | 60.00 | - | 3600 | $8^{*}$ | 8.00 | 16.23 |
| $B_{8} \cup \mathbb{G}(256,15 \%)$ | 9 | 9.00 | 60.00 | - | 3600 | 8* | 8.00 | 0.07 |
| $B_{8} \cup \mathbb{G}(256,20 \%)$ | 9 | 9.00 | 60.00 | - | 3600 | 8* | 8.00 | 0.03 |
| $B_{8} \cup \mathbb{G}(256,25 \%)$ | 8* | 8.00 | 2.23 | - | 3600 | 8* | 8.00 | 0.01 |
| $B_{9} \cup \mathbb{G}(512,5 \%)$ | 10 | 10.00 | 0.01 | 10(10.00\%) | 3600 | 10 | 10.00 | < 0.01 |
| $B_{9} \cup \mathbb{G}(512,7.5 \%)$ | 10 | 10.00 | 0.03 | 10(10.00\%) | 3600 | 10 | 10.00 | < 0.01 |
| $B_{9} \cup \mathbb{G}(512,10 \%)$ | 10 | 10.00 | 60.00 | - | 3600 | 9* | 9.30 | 35.79 |
| $B_{9} \cup \mathbb{G}(512,15 \%)$ | 10 | 10.00 | 60.00 | - | 3600 | 9* | 9.00 | 0.53 |
| $B_{9} \cup \mathbb{G}(512,20 \%)$ | 10 | 10.00 | 60.00 | - | 3600 | 9* | 9.00 | 0.07 |
| $B_{9} \cup \mathbb{G}(512,25 \%)$ | 10 | 10.00 | 60.00 | - | 3600 | 9* | 9.00 | 0.02 |
| $B_{10} \cup \mathbb{G}(1024,5 \%)$ | 11 | 11.00 | 0.11 | - | 3600 | 11 | 11.00 | 0.01 |
| $B_{10} \cup \mathbb{G}(1024,7.5 \%)$ | 11 | 11.00 | 0.22 | - | 3600 | 11 | 11.00 | 0.01 |
| $B_{10} \cup \mathbb{G}(1024,10 \%)$ | 11 | 11.00 | 60.00 | - | 3600 | 10* | 10.50 | 41.54 |
| $B_{10} \cup \mathbb{G}(1024,15 \%)$ | 11 | 11.00 | 60.00 | - | 3600 | 10* | 10.00 | 3.64 |
| $B_{10} \cup \mathbb{G}(1024,20 \%)$ | 10* | 10.00 | 2.58 | - | 3600 | 10* | 10.00 | 0.27 |
| $B_{10} \cup \mathbb{G}(1024,25 \%)$ | 11 | 11.00 | 60.00 | - | 3600 | 10* | 10.00 | 0.08 |
| SW-100-3-0d1-trial1 | 61* | 61.00 | 0.09 | 61* | 0.70 | 61* | 61.00 | 0.01 |
| SW-100-3-0d2-trial1 | 31* | 31.00 | 0.02 | 31* | 0.33 | 31* | 31.00 | < 0.01 |
| SW-100-3-0d2-trial3 | 31* | 31.00 | 0.04 | 31* | 0.33 | 31* | 31.00 | < 0.01 |
| SW-100-4-0d1-trial1 | 10 | 10.00 | 60.00 | 9* | 0.84 | 9* | 9.00 | 0.24 |
| SW-100-4-0d1-trial2 | 9 | 9.00 | 60.00 | 8* | 0.79 | 8* | 8.70 | 45.89 |
| SW-100-4-0d1-trial3 | 11 | 11.00 | 60.00 | 10* | 0.77 | 10* | 10.00 | 0.07 |
| SW-100-4-0d2-trial1 | 9 | 9.00 | 60.00 | 8* | 1.28 | 8* | 8.90 | 57.91 |
| SW-100-4-0d2-trial2 | 9 | 9.00 | 60.00 | 8* | 3.78 | 9 | 9.00 | 60.00 |
| SW-100-4-0d2-trial3 | 9* | 9.00 | 1.41 | 9* | 1.88 | 9* | 9.00 | < 0.01 |
| SW-100-4-0d3-trial1 | 9 | 9.00 | 60.00 | 8* | 1.20 | 8* | 8.00 | 1.43 |
|  |  |  |  |  |  | Continued on next page |  |  |

Table 2.7 - continued from previous page

| Instance | ACS |  |  | ILP (Both bounds) |  | BRKGA_FRFS |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Best | Avg. | t (s) | Best (GAP) | t (s) | Best | Avg. | t (s) |
| SW-100-4-0d3-trial2 | 8* | 8.00 | 0.90 | 8* | 16.23 | 8* | 8.00 | 0.01 |
| SW-100-4-0d3-trial3 | 9 | 9.00 | 60.00 | 8* | 0.56 | 8* | 8.00 | 10.86 |
| SW-100-5-0d1-trial1 | 10 | 10.00 | 60.00 | 9* | 0.56 | 9* | 9.00 | 5.00 |
| SW-100-5-0d1-trial2 | 11 | 11.00 | 60.00 | 10* | 0.73 | 10* | 10.00 | 0.05 |
| SW-100-5-0d1-trial3 | 13 | 13.00 | 60.00 | 12* | 0.32 | 12* | 12.00 | 0.05 |
| SW-100-5-0d2-trial1 | 10 | 10.00 | 60.00 | 9* | 1.59 | 10 | 10.00 | 60.00 |
| SW-100-5-0d2-trial2 | 10 | 10.00 | 60.00 | 9* | 0.42 | 10 | 10.00 | 60.00 |
| SW-100-5-0d2-trial3 | 9 | 9.00 | 60.00 | 8* | 2.62 | 9 | 9.00 | 60.00 |
| SW-100-5-0d3-trial1 | 9 | 9.00 | 60.00 | 8* | 3.22 | 8* | 8.00 | 0.12 |
| SW-100-5-0d3-trial2 | 8 | 8.00 | 0.36 | 8(12.50\%) | 3600 | 8 | 8.00 | $<0.01$ |
| SW-100-5-0d3-trial3 | 8* | 8.00 | 4.29 | 8* | 11.25 | 8* | 8.00 | $<0.01$ |
| SW-100-6-0d1-trial1 | 8 | 8.00 | 60.00 | 7* | 4.35 | 8 | 8.00 | 60.00 |
| SW-100-6-0d1-trial2 | 9 | 9.00 | 60.00 | 8* | 870.41 | 8* | 8.00 | 0.02 |
| SW-100-6-0d1-trial3 | 9 | 9.00 | 60.00 | 7* | 2.94 | 8 | 8.00 | 60.00 |
| SW-100-6-0d2-trial1 | 8 | 8.00 | 60.00 | 7* | 1.64 | 7* | 7.10 | 17.88 |
| SW-100-6-0d2-trial2 | 8 | 8.00 | 60.00 | 7* | 0.11 | 7* | 7.00 | 0.81 |
| SW-100-6-0d2-trial3 | 8 | 8.00 | 60.00 | 7* | 1.65 | 7* | 7.00 | 6.58 |
| SW-100-6-0d3-trial1 | 8 | 8.00 | 60.00 | 7* | 1.53 | 7* | 7.00 | 1.31 |
| SW-100-6-0d3-trial2 | 8 | 8.00 | 60.00 | 7* | 1.28 | 7* | 7.00 | 0.56 |
| SW-100-6-0d3-trial3 | 8 | 8.00 | 60.00 | 7* | 0.86 | 7* | 7.00 | 0.11 |
| SW-1000-3-0d2-trial1 | 96 | 96.00 | 60.00 | - | 3600 | 89* | 89.00 | 14.42 |
| SW-1000-3-0d2-trial2 | 94 | 94.00 | 60.00 | - | 3600 | 88* | 88.00 | 9.26 |
| SW-1000-3-0d3-trial2 | 96 | 96.00 | 60.00 | - | 3600 | 87* | 87.00 | 9.39 |
| SW-1000-4-0d1-trial1 | 19 | 19.00 | 60.00 | - | 3600 | 17 | 17.00 | 5.68 |
| SW-1000-4-0d1-trial2 | 20 | 20.00 | 60.00 | - | 3600 | 17 | 17.90 | 55.04 |
| SW-1000-4-0d1-trial3 | 20 | 20.00 | 60.00 | - | 3600 | 18 | 18.00 | 5.07 |
| SW-1000-4-0d2-trial1 | 15 | 15.00 | 60.00 | - | 3600 | 14 | 14.30 | 41.90 |
| SW-1000-4-0d2-trial2 | 16 | 16.00 | 60.00 | - | 3600 | 14 | 14.80 | 49.96 |
| SW-1000-4-0d2-trial3 | 16 | 16.00 | 60.00 | - | 3600 | 15 | 15.20 | 28.21 |
| SW-1000-4-0d3-trial1 | 14 | 14.00 | 60.00 | 12(16.67\%) | 3600 | 13 | 13.00 | 60.00 |
| SW-1000-4-0d3-trial3 | 14 | 14.00 | 60.00 | 13(23.08\%) | 3600 | 13 | 13.00 | 0.37 |
| SW-1000-5-0d1-trial1 | 20 | 20.00 | 60.00 | - | 3600 | 17 | 17.10 | 15.22 |
| SW-1000-5-0d1-trial2 | 20 | 20.00 | 60.00 | - | 3600 | 17 | 17.00 | 13.70 |
| SW-1000-5-0d1-trial3 | 18 | 18.00 | 60.00 | 15(24.38\%) | 3600 | 16 | 16.00 | 60.00 |
| SW-1000-5-0d2-trial1 | 16 | 16.00 | 60.00 | - | 3600 | 15 | 15.00 | 0.10 |
| SW-1000-5-0d2-trial2 | 16 | 16.00 | 60.00 | - | 3600 | 14 | 14.90 | 54.75 |
| SW-1000-5-0d2-trial3 | 15 | 15.00 | 60.00 | - | 3600 | 14 | 14.00 | 1.25 |
| SW-1000-5-0d3-trial1 | 14 | 14.00 | 60.00 | 12(16.67\%) | 3600 | 13 | 13.90 | 60.00 |
| SW-1000-5-0d3-trial2 | 14 | 14.00 | 60.00 | - | 3600 | 13 | 13.20 | 26.52 |
| SW-1000-5-0d3-trial3 | 14 | 14.00 | 17.22 | - | 3600 | 14 | 14.00 | 0.04 |
| SW-1000-6-0d1-trial1 | 16 | 16.00 | 60.00 | - | 3600 | 14 | 14.00 | 16.05 |
| SW-1000-6-0d1-trial2 | 15 | 15.00 | 60.00 | - | 3600 | 13 | 13.90 | 57.65 |
| SW-1000-6-0d1-trial3 | 14 | 14.00 | 60.00 | - | 3600 | 13 | 13.00 | 6.31 |
| SW-1000-6-0d2-trial1 | 13 | 13.00 | 60.00 | - | 3600 | 12 | 12.00 | 0.13 |
| SW-1000-6-0d2-trial2 | 14 | 14.00 | 60.00 | - | 3600 | 13 | 13.00 | 0.01 |
| SW-1000-6-0d2-trial3 | 13 | 13.00 | 60.00 | - | 3600 | 12 | 12.00 | 0.24 |
| SW-1000-6-0d3-trial1 | 12 | 12.00 | 0.12 | - | 3600 | 12 | 12.00 | 0.01 |
| SW-1000-6-0d3-trial2 | 12 | 12.00 | 5.33 | - | 3600 | 12 | 12.00 | 0.01 |
| SW-1000-6-0d3-trial3 | 12 | 12.00 | 3.99 | - | 3600 | 12 | 12.00 | 0.01 |
| Avg. t (s) |  | 40.93 |  | 1742 |  |  | 17.94 |  |
| \# Best |  | 33 |  | 6 |  |  | 85 |  |
| \# Best Avg. |  | 33 |  | 6 |  |  | 79 |  |

The results in Table 2.7 confirm the robustness of BRKGA_FRFS and its superiority over ACS and the ILP model. Even with an upper bound set by BRKGA_FRFS, the ILP model could not produce any feasible results for instances with 256 vertices. BRKGA_FRFS proved the optimal solution in 56 instances, whereas this number was 53 for the ILP model. Moreover, our algorithm outperformed ACS in terms of both solution quality and CPU time. It attained the best solution in 85 out of the 101 instances. It is worthwhile mentioning that the synthetic instances proposed in this paper are indeed very challenging, as the ILP model could only solve a small portion of them. Hence, we think the proposed benchmark with known optima is a very good one to evaluate future new exact methods.

### 2.3.6 Experiments with SCHA decoding and the proposed matheuristic

As shown in the previous results, our basic BRKGA_FRFS algorithm outperforms the bestperforming algorithms in the literature. In this subsection, we show that BRKGA_FRFS results can be improved using SCHA decoding and our matheuristic. Table 2.8 compares: (i) BRKGA without SCHA (BRKGA_FRFS), (ii) BRKGA with SCHA, (iii) proposed matheuristic without SCHA (BRKGA_FRFS+ILP), and (iv) proposed matheuristic with SCHA (BRKGA+ILP).

Table 2.8: Comparative results of BRKGAs and hybrids

| Instance | BRKGA_FRFS |  |  | BRKGA |  |  | BRKGA_FRFS+ILP |  |  | BRKGA+ILP |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Best | Avg. | t (s) | Best | Avg. | t (s) | Best | Avg. | t (s) | Best | Avg. | t (s) |
| $B_{4}$ | 4* | 4.00 | $<0.01$ | 4* | 4.00 | $<0.01$ | 4* | 4.00 | < 0.01 | 4* | 4.00 | $<0.01$ |
| $B_{5}$ | 5* | 5.00 | 2.03 | 5* | 5.00* | $<0.01$ | 5* | 5.00 | 0.05 | 5* | 5.00 | $<0.01$ |
| $B_{6}$ | 6* | 6.80 | 55.04 | 6* | 6.00 | < 0.01 | 6* | 6.10 | 6.25 | 6* | 6.00 | <0.01 |
| $B_{7}$ | 8 | 8.80 | 60.00 | 7* | 7.00 | $<0.01$ | 7* | 7.20 | 7.15 | 7* | 7.00 | $<0.01$ |
| $B_{8}$ | 10 | 10.60 | 60.00 | 8* | 8.00 | $<0.01$ | 8* | 8.00 | 6.02 | 8* | 8.00 | $<0.01$ |
| $B_{9}$ | 12 | 12.30 | 60.00 | 9* | 9.00 | $<0.01$ | 9* | 9.30 | 40.91 | 9* | 9.00 | $<0.01$ |
| $B_{5} \cup \mathbb{G}(32,5 \%)$ | 5* | 5.90 | 58.45 | 5* | 5.10 | 19.41 | 5* | 5.00 | 0.12 | 5* | 5.00 | 0.17 |
| $B_{5} \cup \mathbb{G}(32,7.5 \%)$ | 5* | 5.10 | 15.21 | 5* | 5.00 | 3.45 | 5* | 5.00 | 0.25 | 5* | 5.00 | 0.22 |
| $B_{5} \cup \mathbb{G}(32,10 \%)$ | 5* | 5.00 | 0.15 | 5* | 5.00 | 0.04 | 5* | 5.00 | 0.14 | 5* | 5.00 | 0.04 |
| $B_{5} \cup \mathbb{G}(32,15 \%)$ | 5* | 5.00 | 0.02 | 5* | 5.00 | 0.06 | 5* | 5.00 | 0.05 | 5* | 5.00 | 0.09 |
| $B_{5} \cup \mathbb{G}(32,20 \%)$ | 5* | 5.00 | $<0.01$ | 5* | 5.00 | $<0.01$ | 5* | 5.00 | < 0.01 | 5* | 5.00 | $<0.01$ |
| $B_{5} \cup \mathbb{G}(32,25 \%)$ | 5* | 5.00 | < 0.01 | 5* | 5.00 | < 0.01 | 5* | 5.00 | <0.01 | 5* | 5.00 | $<0.01$ |
| $B_{6} \cup \mathbb{G}(64,5 \%)$ | 7 | 7.00 | 60.00 | 7 | 7.00 | 60.00 | $6^{*}$ | 6.10 | 9.96 | 6* | 6.00 | 5.47 |
| $B_{6} \cup \mathbb{G}(64,7.5 \%)$ | 7 | 7.00 | 60.00 | 7 | 7.00 | 60.00 | 6* | 6.00 | 6.77 | 6* | 6.00 | 11.97 |
| $B_{6} \cup \mathbb{G}(64,10 \%)$ | 6* | 6.00 | 3.65 | 6* | 6.00 | 4.58 | 6* | 6.00 | 3.87 | 6* | 6.00 | 3.69 |
| $B_{6} \cup \mathbb{G}(64,15 \%)$ | 6* | 6.00 | 0.02 | 6* | 6.00 | 0.03 | $6^{*}$ | 6.00 | 0.02 | $6^{*}$ | 6.00 | 0.03 |
| $B_{6} \cup \mathbb{G}(64,20 \%)$ | 6* | 6.00 | $<0.01$ | 6* | 6.00 | < 0.01 | 6* | 6.00 | <0.01 | 6* | 6.00 | $<0.01$ |
| $B_{6} \cup \mathbb{G}(64,25 \%)$ | 6* | 6.00 | $<0.01$ | 6* | 6.00 | $<0.01$ | $6^{*}$ | 6.00 | $<0.01$ | 6* | 6.00 | $<0.01$ |
| $B_{7} \cup \mathbb{G}(128,5 \%)$ | 8 | 8.00 | < 0.01 | 8 | 8.00 | < 0.01 | 8 | 8.00 | < 0.01 | 8 | 8.00 | <0.01 |
| $B_{7} \cup \mathbb{G}(128,7.5 \%)$ | 8 | 8.00 | 60.00 | 7* | 7.80 | 52.93 | 8 | 8.00 | 60.00 | 8 | 8.00 | 60.00 |
| $B_{7} \cup \mathbb{G}(128,10 \%)$ | 7* | 7.00 | 2.06 | 7* | 7.00 | 6.25 | 7* | 7.00 | 23.98 | 7* | 7.40 | 33.13 |
| $B_{7} \cup \mathbb{G}(128,15 \%)$ | 7* | 7.00 | 0.04 | 7* | 7.00 | 0.07 | 7* | 7.00 | 0.05 | 7* | 7.00 | 0.08 |
| $B_{7} \cup \mathbb{G}(128,20 \%)$ | 7* | 7.00 | 0.01 | 7* | 7.00 | 0.01 | 7* | 7.00 | 0.01 | 7* | 7.00 | 0.01 |
| $B_{7} \cup \mathbb{G}(128,25 \%)$ | 7* | 7.00 | $<0.01$ | 7* | 7.00 | $<0.01$ | 7* | 7.00 | < 0.01 | 7* | 7.00 | $<0.01$ |
| $B_{8} \cup \mathbb{G}(256,5 \%)$ | 9 | 9.00 | $<0.01$ | 9 | 9.00 | $<0.01$ | 9 | 9.00 | < 0.01 | 9 | 9.00 | < 0.01 |
| $B_{8} \cup \mathbb{G}(256,7.5 \%)$ | 8* | 8.90 | 56.79 | 8* | 8.90 | 55.27 | 9 | 9.00 | 60.00 | 8* | 8.90 | 57.20 |
| Continued on next page |  |  |  |  |  |  |  |  |  |  |  |  |

Table 2.8 - continued from previous page

| Instance | BRKGA_FRFS |  |  | BRKGA |  |  | BRKGA_FRFS+ILP |  |  | BRKGA+ILP |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Best | Avg. | t (s) | Best | Avg. | t (s) | Best | Avg. | t (s) | Best | Avg. | t (s) |
| $B_{8} \cup \mathbb{G}(256,10 \%)$ | 8* | 8.00 | 16.23 | 8* | 8.30 | 34.42 | 8* | 8.40 | 38.81 | 8* | 8.60 | 42.42 |
| $B_{8} \cup \mathbb{G}(256,15 \%)$ | 8* | 8.00 | 0.07 | 8* | 8.00 | 0.29 | 8* | 8.00 | 0.08 | 8* | 8.00 | 0.30 |
| $B_{8} \cup \mathbb{G}(256,20 \%)$ | 8* | 8.00 | 0.03 | 8* | 8.00 | 0.04 | 8* | 8.00 | 0.04 | 8* | 8.00 | 0.05 |
| $B_{8} \cup \mathbb{G}(256,25 \%)$ | 8* | 8.00 | 0.01 | 8* | 8.00 | 0.01 | 8* | 8.00 | 0.01 | 8* | 8.00 | 0.01 |
| $B_{9} \cup \mathbb{G}(512,5 \%)$ | 10 | 10.00 | $<0.01$ | 10 | 10.00 | < 0.01 | 10 | 10.00 | $<0.01$ | 10 | 10.00 | < 0.01 |
| $B_{9} \cup \mathbb{G}(512,7.5 \%)$ | 10 | 10.00 | < 0.01 | 10 | 10.00 | < 0.01 | 10 | 10.00 | < 0.01 | 10 | 10.00 | < 0.01 |
| $B_{9} \cup \mathbb{G}(512,10 \%)$ | 9* | 9.30 | 35.79 | 9* | 9.70 | 50.77 | 9* | 9.50 | 38.45 | 9* | 9.70 | 51.38 |
| $B_{9} \cup \mathbb{G}(512,15 \%)$ | 9* | 9.00 | 0.53 | 9* | 9.00 | 1.05 | 9* | 9.00 | 0.62 | 9 | 9.00 | 1.18 |
| $B_{9} \cup \mathbb{G}(512,20 \%)$ | 9* | 9.00 | 0.07 | 9* | 9.00 | 0.09 | 9* | 9.00 | 0.08 | 9* | 9.00 | 0.10 |
| $B_{9} \cup \mathbb{G}(512,25 \%)$ | 9* | 9.00 | 0.02 | 9* | 9.00 | 0.03 | 9* | 9.00 | 0.03 | 9* | 9.00 | 0.03 |
| $B_{10} \cup \mathbb{G}(1024,5 \%)$ | 11 | 11.00 | 0.01 | 11 | 11.00 | 0.01 | 11 | 11.00 | 0.01 | 11 | 11.00 | 0.02 |
| $B_{10} \cup \mathbb{G}(1024,7.5 \%)$ | 11 | 11.00 | 0.01 | 11 | 11.00 | 0.01 | 11 | 11.00 | 0.02 | 11 | 11.00 | 0.01 |
| $B_{10} \cup \mathbb{G}(1024,10 \%)$ | 10* | 10.50 | 41.54 | 11 | 11.00 | 60.00 | 10* | 10.50 | 42.99 | 11 | 11.00 | 60.00 |
| $B_{10} \cup \mathbb{G}(1024,15 \%)$ | 10* | 10.00 | 3.64 | 10* | 10.00 | 4.58 | 10* | 10.00 | 4.14 | 10* | 10.00 | 5.09 |
| $B_{10} \cup \mathbb{G}(1024,20 \%)$ | 10* | 10.00 | 0.27 | 10* | 10.00 | 0.31 | 10* | 10.00 | 0.31 | 10* | 10.00 | 0.36 |
| $B_{10} \cup \mathbb{G}(1024,25 \%)$ | 10* | 10.00 | 0.08 | 10* | 10.00 | 0.09 | 10* | 10.00 | 0.09 | 10* | 10.00 | 0.10 |
| SW-100-3-0d1-trial1 | 61* | 61.00 | 0.01 | 61* | 61.00 | < 0.01 | 61* | 61.00 | 0.01 | 61* | 61.00 | <0.01 |
| SW-100-3-0d2-trial1 | 31* | 31.00 | $<0.01$ | 31* | 31.00 | $<0.01$ | 31* | 31.00 | < 0.01 | $31 *$ | 31.00 | $<0.01$ |
| SW-100-3-0d2-trial3 | 31* | 31.00 | $<0.01$ | 31* | 31.00 | $<0.01$ | 31 | 31.00 | $<0.01$ | 31* | 31.00 | $<0.01$ |
| SW-100-4-0d1-trial1 | 9* | 9.00 | 0.24 | 9* | 9.00 | < 0.01 | 9* | 9.00 | 0.28 | 9* | 9.00 | 0.01 |
| SW-100-4-0d1-trial2 | 8* | 8.70 | 45.89 | 8* | 8.00 | 3.10 | 8* | 8.00 | 1.44 | 8* | 8.00 | 1.42 |
| SW-100-4-0d1-trial3 | 10* | 10.00 | 0.07 | 10* | 10.00 | 0.01 | 10* | 10.00 | 0.07 | 10* | 10.00 | 0.02 |
| SW-100-4-0d2-trial1 | 8* | 8.90 | 57.91 | 8* | 8.50 | 43.80 | 8* | 8.00 | 1.62 | 8* | 8.00 | 3.18 |
| SW-100-4-0d2-trial2 | 9 | 9.00 | 60.00 | 9 | 9.00 | 60.00 | 8* | 8.00 | 6.14 | 8* | 8.00 | 7.48 |
| SW-100-4-0d2-trial3 | 9* | 9.00 | < 0.01 | 9* | 9.00 | < 0.01 | 9* | 9.00 | < 0.01 | 9* | 9.00 | < 0.01 |
| SW-100-4-0d3-trial1 | 8* | 8.00 | 1.43 | 8* | 8.00 | 1.00 | 8* | 8.00 | 1.01 | 8* | 8.00 | 0.76 |
| SW-100-4-0d3-trial2 | 8* | 8.00 | 0.01 | 8* | 8.00 | < 0.01 | 8* | 8.00 | 0.01 | 8* | 8.00 | < 0.01 |
| SW-100-4-0d3-trial3 | 8* | 8.00 | 10.86 | 8* | 8.00 | 1.44 | 8* | 8.00 | 1.33 | 8* | 8.00 | 0.76 |
| SW-100-5-0d1-trial1 | 9* | 9.00 | 5.00 | 9* | 9.00 | 0.08 | 9* | 9.00 | 0.83 | 9* | 9.00 | 0.10 |
| SW-100-5-0d1-trial2 | 10* | 10.00 | 0.05 | 10* | 10.00 | < 0.01 | 10* | 10.00 | 0.05 | 10* | 10.00 | < 0.01 |
| SW-100-5-0d1-trial3 | 12* | 12.00 | 0.05 | 12* | 12.00 | < 0.01 | 12* | 12.00 | 0.05 | 12* | 12.00 | < 0.01 |
| SW-100-5-0d2-trial1 | 10 | 10.00 | 60.00 | 10 | 10.00 | 60.00 | 9* | 9.00 | 2.08 | 9* | 9.00 | 3.34 |
| SW-100-5-0d2-trial2 | 10 | 10.00 | 60.00 | 10 | 10.00 | 60.00 | 9* | 9.00 | 1.50 | 9* | 9.00 | 2.45 |
| SW-100-5-0d2-trial3 | 9 | 9.00 | 60.00 | 9 | 9.00 | 60.00 | 8* | 8.00 | 3.72 | 8* | 8.00 | 6.96 |
| SW-100-5-0d3-trial1 | 8* | 8.00 | 0.12 | 8* | 8.00 | 0.03 | 8* | 8.00 | 0.13 | 8* | 8.00 | 0.02 |
| SW-100-5-0d3-trial2 | 8 | 8.00 | < 0.01 | 8 | 8.00 | < 0.01 | 8 | 8.00 | $<0.01$ | 8 | 8.00 | <0.01 |
| SW-100-5-0d3-trial3 | 8* | 8.00 | < 0.01 | 8* | 8.00 | < 0.01 | 8* | 8.00 | < 0.01 | 8* | 8.00 | < 0.01 |
| SW-100-6-0d1-trial1 | 8 | 8.00 | 60.00 | 8 | 8.00 | 60.00 | 7* | 7.50 | 48.87 | 7* | 7.50 | 44.86 |
| SW-100-6-0d1-trial2 | 8* | 8.00 | 0.02 | 8* | 8.00 | < 0.01 | 8* | 8.00 | 0.03 | 8* | 8.00 | < 0.01 |
| SW-100-6-0d1-trial3 | 8 | 8.00 | 60.00 | 8 | 8.00 | 60.00 | 7* | 7.00 | 13.55 | 7* | 7.00 | 15.28 |
| SW-100-6-0d2-trial1 | 7* | 7.10 | 17.88 | 7* | 7.20 | 36.35 | 7* | 7.00 | 7.39 | 7* | 7.00 | 8.02 |
| SW-100-6-0d2-trial2 | 7* | 7.00 | 0.81 | 7* | 7.00 | 0.35 | 7* | 7.00 | 5.87 | 7* | 7.00 | 0.44 |
| SW-100-6-0d2-trial3 | 7* | 7.00 | 6.58 | 7* | 7.00 | 8.53 | 7* | 7.00 | 10.50 | 7* | 7.00 | 6.92 |
| SW-100-6-0d3-trial1 | 7* | 7.00 | 1.31 | 7* | 7.00 | 0.89 | 7* | 7.00 | 9.88 | 7* | 7.00 | 5.60 |
| SW-100-6-0d3-trial2 | 7* | 7.00 | 0.56 | 7* | 7.00 | 0.40 | 7* | 7.00 | 4.91 | 7* | 7.00 | 0.45 |
| SW-100-6-0d3-trial3 | 7* | 7.00 | 0.11 | 7* | 7.00 | 0.06 | 7* | 7.00 | 0.76 | 7* | 7.00 | 0.08 |
| SW-1000-3-0d2-trial1 | 89* | 89.00 | 14.42 | 89* | 89.00 | 0.01 | 89* | 89.00 | 14.81 | 89* | 89.00 | 0.02 |
| SW-1000-3-0d2-trial2 | 88* | 88.00 | 9.26 | 88* | 88.00 | 0.01 | 88* | 88.00 | 9.34 | 88* | 88.00 | 0.01 |
| SW-1000-3-0d3-trial2 | 87* | 87.00 | 9.39 | 87* | 87.00 | 0.01 | 87* | 87.00 | 9.43 | 87* | 87.00 | 0.02 |
| SW-1000-4-0d1-trial1 | 17 | 17.00 | 60.00 | 16 | 16.60 | 46.05 | 17 | 17.00 | 60.00 | 16 | 16.60 | 44.75 |
| SW-1000-4-0d1-trial2 | 17 | 17.90 | 55.04 | 17 | 17.00 | 0.42 | 17 | 17.90 | 55.05 | 17 | 17.00 | 0.67 |
| SW-1000-4-0d1-trial3 | 18 | 18.00 | 60.00 | 17 | 17.80 | 53.42 | 18 | 18.00 | 60.00 | 17 | 17.70 | 53.47 |
| SW-1000-4-0d2-trial1 | 14 | 14.30 | 41.90 | 14 | 14.00 | 0.02 | $14$ <br> Contin | $14.30$ <br> ued on $n$ | $42.26$ <br> ext page | 14 | 14.00 | 0.02 |

Table 2.8 - continued from previous page

| Instance | BRKGA_FRFS |  |  | BRKGA |  |  | BRKGA_FRFS+ILP |  |  | BRKGA+ILP |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Best | Avg. | t (s) | Best | Avg. | t (s) | Best | Avg. | t (s) | Best | Avg. | t (s) |
| SW-1000-4-0d2-trial2 | 14 | 14.80 | 49.96 | 14 | 14.00 | 0.17 | 14 | 14.80 | 49.98 | 14 | 14.00 | 0.17 |
| SW-1000-4-0d2-trial3 | 15 | 15.20 | 28.21 | 15 | 15.00 | 0.01 | 15 | 15.20 | 28.29 | 15 | 15.00 | 0.02 |
| SW-1000-4-0d3-trial1 | 13 | 13.00 | 0.60 | 13 | 13.00 | 0.02 | 13 | 13.00 | 0.60 | 13 | 13.00 | 0.01 |
| SW-1000-4-0d3-trial3 | 13 | 13.00 | 0.37 | 13 | 13.00 | 0.02 | 13 | 13.00 | 0.37 | 13 | 13.00 | 0.02 |
| SW-1000-5-0d1-trial1 | 17 | 17.10 | 15.22 | 17 | 17.00 | 0.05 | 17 | 17.10 | 15.36 | 17 | 17.00 | 0.06 |
| SW-1000-5-0d1-trial2 | 17 | 17.00 | 13.70 | 17 | 17.00 | 0.14 | 17 | 17.00 | 14.96 | 17 | 17.00 | 0.15 |
| SW-1000-5-0d1-trial3 | 16 | 16.00 | 60.00 | 15 | 15.00 | 0.65 | 16 | 16.00 | 60.00 | 15 | 15.00 | 1.09 |
| SW-1000-5-0d2-trial1 | 15 | 15.00 | 60.00 | 14 | 14.00 | 2.39 | 15 | 15.00 | 60.00 | 14 | 14.00 | 2.58 |
| SW-1000-5-0d2-trial2 | 14 | 14.90 | 54.75 | 14 | 14.00 | 0.07 | 14 | 14.90 | 54.77 | 14 | 14.00 | 0.07 |
| SW-1000-5-0d2-trial3 | 14 | 14.00 | 1.25 | 14 | 14.00 | 0.01 | 14 | 14.00 | 1.26 | 14 | 14.00 | 0.02 |
| SW-1000-5-0d3-trial1 | 13 | 13.90 | 55.65 | 13 | 13.00 | 0.05 | 13 | 13.90 | 55.67 | 13 | 13.00 | 0.05 |
| SW-1000-5-0d3-trial2 | 13 | 13.20 | 26.52 | 13 | 13.00 | 0.03 | 13 | 13.20 | 26.64 | 13 | 13.00 | 0.03 |
| SW-1000-5-0d3-trial3 | 14 | 14.00 | 60.00 | 13 | 13.00 | 5.81 | 14 | 14.00 | 60.00 | 13 | 13.00 | 3.22 |
| SW-1000-6-0d1-trial1 | 14 | 14.00 | 16.05 | 14 | 14.00 | 0.39 | 14 | 14.10 | 18.35 | 14 | 14.00 | 0.61 |
| SW-1000-6-0d1-trial2 | 13 | 13.90 | 57.65 | 13 | 13.20 | 28.66 | 13 | 13.90 | 58.20 | 13 | 13.00 | 26.01 |
| SW-1000-6-0d1-trial3 | 13 | 13.00 | 6.31 | 13 | 13.00 | 0.08 | 13 | 13.00 | 7.24 | 13 | 13.00 | 0.08 |
| SW-1000-6-0d2-trial1 | 12 | 12.00 | 0.13 | 12 | 12.00 | 0.02 | 12 | 12.00 | 0.14 | 12 | 12.00 | 0.02 |
| SW-1000-6-0d2-trial2 | 13 | 13.00 | 0.01 | 13 | 13.00 | 0.01 | 13 | 13.00 | 0.01 | 13 | 13.00 | 0.01 |
| SW-1000-6-0d2-trial3 | 12 | 12.00 | 0.24 | 12 | 12.00 | 0.03 | 12 | 12.00 | 0.24 | 12 | 12.00 | 0.03 |
| SW-1000-6-0d3-trial1 | 12 | 12.00 | 0.01 | 12 | 12.00 | 0.01 | 12 | 12.00 | 0.01 | 12 | 12.00 | 0.01 |
| SW-1000-6-0d3-trial2 | 12 | 12.00 | 0.01 | 12 | 12.00 | 0.01 | 12 | 12.00 | 0.01 | 12 | 12.00 | 0.01 |
| SW-1000-6-0d3-trial3 | 12 | 12.00 | 0.01 | 12 | 12.00 | 0.01 | 12 | 12.00 | 0.01 | 12 | 12.00 | 0.01 |
| \# Best |  | 84 |  |  | 92 |  |  | 94 |  |  | 99 |  |
| \# Best Avg. |  | 69 |  |  | 85 |  |  | 78 |  |  | 96 |  |
| Avg. t (s) |  | 18.98 |  |  | 9.98 |  |  | 12.24 |  |  | 5.69 |  |

The results in Table 2.8 show that the SCHA decoding positively impacts the search since the algorithms with SCHA performed better than their counterparts without SCHA. The SCHA refinement gives more accuracy to the BRKGA decoding. Moreover, the results reveal that BRKGA+ILP compares favorably with BRKGA in both features, solution quality and CPU time. Note that in the cases BRKGA $+I L P$ missed the best solution, the solution determined by BRKGA $+I L P$ is at most one unit time longer than the best one. Adding ILP features to the BRKGA has shown a very feasible approach.

## Weighted Minimum Broadcast Time

The Weighted Minimum Broadcast Time (WMBT) is a generalization of the MBT with weight in vertices or/and edges. The WMBT model is more realistic than the MBT. Because the WMBT can represent transmission delay rates as explained in Section 1.2. We remark that our work focuses on the telephone model.

There are four variations of the WMBT: (i) classic model ( $\alpha=0$ and $\beta=1$ for all edges), (ii) weighted-vertex model (Harutyunyan and Kamali, 2008) ( $\alpha \geq 0$ and $\beta=1$ for all edges), (iii) weighted-edge model (Su et al., 2010) ( $\alpha=0$ and $\beta \geq 1$ for all edges), and (iv) weight-ed-vertex-and-edge (general) model ( $\alpha \geq 0$ and $\beta \geq 1$ for all edges). The $\alpha$ and $\beta$ were defined in Section 1.2. The first model was presented in Section 2.2. In this chapter, we will propose solutions for the remaining models.

Figures 3.1-3.3 illustrate an example for each variant of the WMBT. Figure 3.1-a shows a network with weighted-vertex, such that each vertex has a label and setup phase. Figure 3.1-b shows an optimal solution of example of the previous example. The values in edges represent the time that vertex received information.

(a) Example network with weighted-vertex

(b) Optimal broadcast solution.

Figure 3.1: Example of weighted-vertex model.

Figure 3.2-a shows a network with a weighted-edge graph. Each edge is associated with a value representing the transmission time of connection. Similarly, Figure 3.1-b shows optimal
solution of the previous example. The values in edges represent the time that each vertex received information.

(a) Example network with weighted-edge

(b) Optimal broadcast solution.

Figure 3.2: Example of weighted-edge model.

Figure 3.3-a shows an example of a weighted-edge-and-vertex variant. Edges are associated with a transmission time, whereas vertices are associated with a setup time. Figure 3.1-b shows optimal solution of the previous example.

(a) Example network with weighted-edge-and-vertex

(b) Optimal broadcast solution.

Figure 3.3: Example of weighted-edge-and-vertex model.

Theorem 9. The weighted-edge, weighted-vertex and weighted-edge-and-vertex Minimum Broadcast Problems are $\mathfrak{N}$ P-Complete.

Proof. Restrict each WMTB variant to classical MBT by allowing only instances having $w_{e}=1$ for all $e \in E(G)$ and $w_{v}=0$ for all $v \in V(G)$.

We remark that the classical MBT can be viewed as telephone or postal models when $\alpha=0$ and $\beta=1$ for all edges. Harutyunyan and Kamali (2008) introduced a generalization of the telephone model with the weighted-vertex model, where each vertex has a different $\alpha$ value.

In both, telephone and postal models, only one transmission can be performed at a time. Because of this, these models are labeled as 1-broadcast or 1-port. In addition, a model in which a maximum of $k$ transmission can be performed at a time, it called $k$-broadcast or $k$-port
(König and Lazard, 1994). This work aims to study the telephone model as a message-passing system for the MBT.

We remark that Definitions 1-7 are related to the MBT when $\left|V_{0}\right|=1$. However, similar considerations can be applied, mutatis mutandis, for the WMBT or/and when $\left|V_{0}\right|>1$.

### 3.1 Related Work

Harutyunyan and Kamali (2008); Koh and Tcha (1991) introduced generalizations of the Telephone model. Koh and Tcha (1991) show a polynomial algorithm that optimally solves the weighted-edge model for the tree graphs. Harutyunyan and Kamali (2008) presented a greedy algorithm and an evolutionary algorithm for the general graphs with setup phase (weightedvertex). The greedy algorithm outperformed the evolutionary algorithm. Averbuch et al. (2000) propose polynomial algorithms for weighted-vertex and weighted-edge trees, but the cost function is computed differently than Garey and Johnson (1979); Harutyunyan and Kamali (2008); Koh and Tcha (1991). To the best of the authors' knowledge, there are no exact algorithms for any generalization of the WMBT. Also, there are no heuristic or metaheuristic algorithms for general graphs with transmission time and setup phase (weighted-vertex-and-edge).

Harutyunyan and Kamali (2008) introduce a greedy algorithm for the weighted-vertex model, which we will show in Algorithm 6. This algorithm is an adaptation of Dijkstra's algorithm for Weighted-Vertex MBT. It starts setting the cost of all vertices to infinity, creates sets to informed and uninformed vertices, and sets the cost of source $v_{0}$ (lines 2-6). Next, the procedure may be repeated if there is any uninformed vertex, choosing an edge with the least weight that does not cycle in the solution (lines 7-12). Finally, broadcastTime gets the biggest cost of vertices (line 13). Overall, the worst-case running time of this algorithm is $O(|V| \cdot|E|)$.

Harutyunyan and Kamali (2008) described that even if it finds the optimal broadcast tree, the algorithm does not guarantee that the WMBT schedule will be the optimum. In Section 3.2.4, we describe an exact algorithm for the WMBT for trees.

We present a summarized comparison of the literature's approaches. Table 3.1 lists the main articles about WMBT in the literature.

Table 3.1: List of articles about WMBT in the literature.

| Article | Approach | Observation |
| :---: | :---: | :---: |
| Bar-Noy et al. (2000) | Description of message-passing systems | - |
| Harutyunyan and Kamali (2008) | Heuristic and metaheuristic algorithm | For weighted-vertex. |
| Su et al. (2016) | Polynomial time algorithm | For edge-vertex, when graph is a tree and $\left\|V_{0}\right\|=1$. |

```
Algorithm 6: Greedy algorithm for Weighted-Vertex-MBT
    Input : Weighted Vertex Undirected graph: \(G=\left(V, E, W_{v}\right)\),
                Source vertex: \(v_{0}\)
    Output: Broadcast time: broadcastTime
    GreedyAlgorithm ( \(G, v_{0}\) )
        for \(v \in V\) do
            \(\operatorname{cost}[v] \leftarrow \infty\)
        \(I \leftarrow\left\{v_{0}\right\} \quad\) // let \(I\) be a set
        \(U \leftarrow V \backslash\left\{v_{0}\right\} \quad\) // let \(U\) be a set
        \(\operatorname{cost}\left[v_{0}\right] \leftarrow W_{v}\left[v_{0}\right]\)
        while \(U \neq 0\) do
            \(v_{\alpha}, v_{\beta} \leftarrow \operatorname{argmin}\left(c\left(v_{i}\right)+w\left(v_{j}\right)\right)\)
                \(i \in I, j \in U,(i, j) \in E\)
            \(\operatorname{cost}\left(v_{\beta}\right) \leftarrow \operatorname{cost}\left(v_{\alpha}\right)+w\left(\nu_{\beta}\right)+1\)
            \(\operatorname{cost}\left(v_{\alpha}\right) \leftarrow \operatorname{cost}\left(v_{\alpha}\right)+1\)
            \(I \leftarrow I \cup\left\{v_{\beta}\right\}\)
            \(U \leftarrow U \backslash\left\{v_{\beta}\right\}\)
            broadcastTime \(\leftarrow \max _{v \in V}(\operatorname{cost}[v]) \quad / /\) Get higher cost
            return broadcastTime
```


### 3.2 Algorithmic approaches for the WMBT

In this Section, we will show our contributions. First, we will explain about our mathematical models for WMBTs in Section 3.2.1. Section 3.2.2 describes a lower bound algorithm for the WMBT. Section 3.2.3 shows an exact greedy algorithm for the WMBT for forests. Section 3.2.4 presents a greedy algorithm for the WMBT for general instances. Section 3.2.5 describes a reduce rule for the WBMT. Finally, Section 3.2.6 some decoders for BRKGA for the WMBT.

### 3.2.1 Mathematical models for WMBT

To the best of the author's knowledge, there is no mathematical model for WMBTs. In this section, we proposed a mathematical model for each variant of the WMBT: (i) weighted-vertex model, (ii) weighted-edge model, and (iii) general model. These mathematical models are extensions of the model proposed by de Sousa et al. (2018) for the MBT.

### 3.2.1.1 Weighted-vertex model

Let $G=(V, E)$ be a simple graph, $N(i)$ the set of neighboring vertices of vertex $i \in V$, and $W_{v}$ the list of weights for each vertex of $G$. In our model, $K_{i}$ is a binary constant indicating whether or not vertex $i$ is in $V_{0}$, and $T_{\max }$ a constant that represents an upper bound on the time in which a vertex receives the message (e.g., $T_{\max }=\left(\sum_{v \in V} W_{v}(v)\right)+|V|-\left|V_{0}\right|$ is the trivial bound). Finally,
define $T$ as a decision variable that represents the minimum broadcast time, and $x_{i j}^{t}$ as a binary variable that has value 1 if the vertex $i$ starts transmission of the message to the vertex $j$ in time $t$ and 0 , otherwise. Based on these elements, our ILP model is defined as follows:

$$
\begin{array}{lr}
\text { min } & T \\
\text { s. } \mathrm{t} & K_{i}+\sum_{j \in N(i)} \sum_{t=0}^{T_{\text {max }}} x_{j i}^{t}=1 \\
& \sum_{j \in N(i)} x_{i j}^{t}=0 \\
& \sum_{j \in N(i)} x_{i j}^{t} \leq 1 \\
& \forall i \in V \\
& x_{i j}^{t} \leq K_{i}+\sum_{k \in N(i) \backslash\{j\}} \sum_{\tau=0}^{t-1-W_{v}(i)} x_{k i}^{\tau}
\end{array} \quad \forall i \in V_{0}, \forall t \in\left[0, W_{v}(i)\right)
$$

The objective function (3.1) minimizes the weighted broadcast time. Constraints (3.2) limit each vertex to receive only one message or start with its. Constraints (3.3) imply that each source sends the message after its setup phase. Constraints (3.4) require that each vertex sends at most one message to a neighbor at each time $t$. Constraints (3.5) establish that each vertex can only transmit if it has already received the message. Constraints (3.6) state that the value of $T$ must be greater than or equal to the time of any transmission. Finally, Constraints (3.7) and (3.8) define the domain of the decision variables.

### 3.2.1.2 Weighted-edge model

In the weighted-edge variation, there is a list $W_{e}$ with weights for each edge of the graph. The ILP model for this variation is as follows:
$\min \quad T$
s. t $\quad K_{i}+\sum_{j \in N(i)} \sum_{t=1}^{T_{\text {max }}} x_{j i}^{t}=1$
$\forall i \in V$

$$
\begin{equation*}
\sum_{j \in N(i)} x_{i j}^{t} \leq 1 \tag{3.11}
\end{equation*}
$$

$$
\begin{equation*}
\forall i \in V, \forall t \in\left[0, T_{\max }\right] \tag{3.10}
\end{equation*}
$$

$$
\begin{array}{lr}
x_{i j}^{t} \leq K_{i}+\sum_{k \in N(i) \backslash\{j\}} \sum_{\tau=0}^{t-W_{e}((k, i))} x_{k i}^{\tau} & \forall(i, j) \in E, \forall t \in\left[0, T_{\max }\right] \\
\sum_{t=0}^{T_{\max }}\left(t+W_{e}((i, j))\right) \cdot x_{i j}^{t} \leq T & \forall(i, j) \in E \\
x_{i k}^{\tau} \leq 1-x_{i j}^{t} \quad \forall(i, j) \in E, \forall t \in\left[0, T_{\max }\right], \forall k \in N(i), \forall \tau \in\left[t+1, t+W_{e}(i, j)\right] \\
T \in \mathbb{N} & \forall(i, j) \in E, \forall t \in\left[0, T_{\max }\right]
\end{array}
$$

The objective function (3.9) minimizes the weighted broadcast time. Constraints (3.10) limit each vertex to receive only one message or start with its, where $T_{\max }=\sum_{e \in E} W_{e}(e)$ is the trivial upper bound. Constraints (3.11) require that each vertex sends at most one message to a neighbor at each time $t$. Constraints (3.12) establish that each vertex can only transmit if it has already received the message. Constraints (3.13) state that the value of $T$ must be greater than or equal to the time of any transmission. Constraints (3.14) mean each vertex wait $W_{e}(i, j)$ units of time for a new transmission. Finally, Constraints (3.15) and (3.16) define the domain of the decision variables.

### 3.2.1.3 General model

The general model is based on the previous ones and combines them as follows:

## $\min \quad T$

$$
\begin{array}{lr}
\text { s. t } & K_{i}+\sum_{j \in N(i)} \sum_{t=1}^{T_{\max }} x_{j i}^{t}=1 \\
& \sum_{j \in N(i)} x_{i j}^{t}=0 \\
& \forall i \in V \\
\sum_{j \in N(i)} x_{i j}^{t} \leq 1 & \forall i \in V_{0}, \forall t \in\left[0, W_{v}(i)\right) \\
& x_{i j}^{t} \leq K_{i}+\sum_{k \in N(i) \backslash\{j\}} \sum_{\tau=0}^{t-W_{e}((k, i))-W_{v}(i)} x_{k i}^{\tau}
\end{array} \quad \forall i \in V, \forall t \in\left[0, T_{\max }\right]
$$

$$
\begin{equation*}
\sum_{t=0}^{T_{\max }}\left(t+W_{e}((i, j))+W_{v}((j))\right) \cdot x_{i j}^{t} \leq T \quad \forall(i, j) \in E \tag{3.22}
\end{equation*}
$$

$$
\begin{equation*}
x_{i k}^{\tau} \leq 1-x_{i j}^{t} \quad \forall(i, j) \in E, \forall t \in\left[0, T_{\max }\right], \forall k \in N(i), \forall \tau \in\left[t+1, t+W_{e}((i, j))\right] \tag{3.23}
\end{equation*}
$$

$$
\begin{equation*}
T \in \mathbb{N} \tag{3.24}
\end{equation*}
$$

$$
\begin{equation*}
x_{i j}^{t} \in \mathbb{B} \quad \forall(i, j) \in E, \forall t \in\left[0, T_{\text {max }}\right] \tag{3.25}
\end{equation*}
$$

The objective function (3.17) minimizes the weighted broadcast time. Constraints (3.18) limit each vertex to receive only one message or start with its, where $T_{\max }=\sum_{e \in E} W_{e}(e)+\sum_{v \in V} W_{v}(v)$ is the trivial bound. Constraints (3.19) imply that each source sends the message after its setup phase. Constraints $(3.20)$ require that each vertex sends at most one message to a neighbor at each time $t$. Constraints (3.21) establish that each vertex can only transmit if it has already received the message. Constraints (3.22) state that the value of $T$ must be greater than or equal to the time of any transmission. Constraints (3.23) mean each vertex wait $W_{e}(i, j)$ units of time for a new transmission. Finally, Constraints (3.24) and (3.25) define the domain of the decision variables.

For the remainder of this work, we will consider only the general model as the WMBT.

### 3.2.2 Lower bound algorithm for the WMBT

We have already commented on the importance of bounds in Section 2.2.2. Harutyunyan and Kamali (2008) proposed a lower bound given by $d+(d+1) \cdot w_{\min }$, where $d$ is the maximum distance of sources to other vertices and $w_{\min }$ is $\min _{v \in V}\left(W_{v}(v)\right)$. To the best of the author's knowledge, there are no works about bounds for weighted-edge and weighted-edge-and-vertex models.

Clearly, $\sum_{e \in E} W_{e}(e)+\sum_{v \in V} W_{v}(v)$ is an upper bound for the optimal broadcast time. However, this upper bound value is not tight, because, this sum can be a very high value. Consequently, for exact models, a large upper bound makes the solver require a lot of memory to solve the problem exactly.

Algorithm 7 calculates an upper bound for the WMBT. Its main objective is to find the maximum shortest path between a target vertex and its nearest source. Note that a straightforward implementation of LBB-Dijkstra, i.e., applying a Dijkstra's algorithm on each $v \in V_{0}$, would require $O\left(\left|V_{0}\right| \cdot(|V|+|E| \cdot \log (|V|))\right)$. But, the worst-case running time of LBB-Dijkstra is $O(|V|+|E| \cdot \log (|V|)$.

Theorem 10. Algorithm 7 returns a lower bound for the optimum of the MBT with input graph $G=(V, E)$, positive edge weights $W_{e}$, non-negative vertex weights $W_{v}$ and source set $V_{0}$.

Proof. Let $b^{*}$ be the optimum an instance $G\left(V, E, W_{e}, W_{v}\right), V_{0}$ and $z$ be the value returned by the LBB-Dijkstra algorithm. Consider that $v \in V_{0}$ is the closest vertex of $V_{0}$ to a vertex $\ell \in V$, such that the distance between them is exactly $z$. We need at least $z$ distance to reach $\ell$ from any vertex in $V_{0}$. Therefore, $z \leq b^{*}$, i.e., $z$ is a lower bound for the optimum MBT value.

### 3.2.3 Exact greedy algorithm for the WMBT for forest

In this section, we extend the algorithm proposed by Su et al. (2016). The original algorithm solves the weighted-vertex MBT for trees. In our extension, both vertices and edges have

```
Algorithm 7: Lower bound algorithm for WMBT.
    Input : Undirected graph: \(G=(V, E)\),
            Positive edge weights: \(W_{e}\),
            Non-negative vertex weights: \(W_{v}\),
            Source set: \(V_{0}\)
    Output: Lower bound to broadcast: lowerBound
    LBB-Dijkstra ( \(G, W_{v}, W_{e}, V_{0}\) )
        for \(v \in V\) do
            \(\operatorname{dist}[v] \leftarrow \infty\)
        \(S \leftarrow 0\)
                            // let \(S\) be an set
        for \(v \in V_{0}\) do
            \(\operatorname{dist}[v] \leftarrow 0+\underline{W_{v}[v]}\)
            \(S \leftarrow S \cup\{v\}\)
    while \(S \neq \emptyset\) do
            \(v \leftarrow \operatorname{argmin}(\operatorname{dist}[u]) \quad / /\) Get the vertex with lower distance
                    \(u \in S\)
            \(S \leftarrow S \backslash\{v\}\)
            for all \((v, u) \in E\) do
                if \(\operatorname{dist}[u]>\operatorname{dist}[v]+W_{e}[(v, u)]+W_{v}[u]\) then
                    \(\operatorname{dist}[u] \leftarrow \operatorname{dist}[v]+W_{e}[(v, u)]+\underline{W_{v}[u]}\)
                    \(S \leftarrow S \cup\{u\}\)
            lowerBound \(\leftarrow \max _{v \in V}(\operatorname{dist}[v]) \quad / /\) Get higher distance
            return lowerBound
```

weights. Our contribution is illustrated in Algorithm 8 with an underline. For the remainder of this work, we will label Algorithm 8 as Weighted-SCHA.

### 3.2.4 Greedy algorithm for the WMBT for general instances

In this section, we propose an extension for the algorithm of Harutyunyan and Kamali (2008) (Algorithm 6). We improve this algorithm by considering multi-source graphs and also by allowing weights on both vertices and edges. The differences from the original algorithm are highlighted with rectangles in Algorithm 9. Note that our algorithm refines the greedy solution by applying the Weighted-SCHA algorithm (line 17). Moreover, our algorithm ensures the optimal solution if the input graph is a tree. Overall, the worst-case running time of the algorithm remains $O(|E| \cdot|V|)$.

### 3.2.5 Reducing rules

In this section, we propose a reducing rule to improve the performance of heuristics for WMBT. The idea is to reduce the search space by removing edges that do not belong to any optimal solution. Consequently, the probability of finding an optimal solution increases. The proposed

```
Algorithm 8: WMBT for forest instances.
    Input : Weighted forest: \(F=\left(V, E, S, W_{v}, W_{e}\right)\)
    Output: Total step time to broadcast: broadcastTime
    WMBT-Forest ( \(F\) )
        broadcastTime \(\leftarrow 0\)
        for each \(v \in V\) do
            \(v s t d[v] \leftarrow\) false
        for each \(r \in R\) do
            \(T_{r} \leftarrow \operatorname{GetTree}(F, r)\)
            broadcastTime \(\leftarrow \max \left(\right.\) broadcastTime, WMBT-Tree \(\left.\left(T_{r}, r, v s t d\right)\right)\)
    return broadcastTime
    WMBT-Tree ( \(T, v, v s t d)\)
        \(v s t d[v] \leftarrow\) true
        broadcastTime \(\leftarrow 0\)
        time \(\leftarrow 0\)
        \(P Q \leftarrow\) InitPQ() // let \(P Q\) be an priority queue
        for each \(u \in N(v)\) do
            if \(v s t d[u]=\) false then
                \(P Q \leftarrow \operatorname{PushPQ}\left(P Q\right.\),(WMBT-Tree \(\left.\left.(T, u, v s t d)+W_{e}((v, u)), W_{e}((v, u)), u\right)\right)\)
        while not IsEmpty \((P Q)\) do
            \(p, w, u \leftarrow \operatorname{PopPQ}(P Q)\)
            broadcastTime \(\leftarrow \max\) (broadcastTime, \(p+\) time \()\)
            time \(\leftarrow\) time \(+w\)
        broadcastTime \(\leftarrow\) broadcastTime \(+\underline{W_{v}(v)}\)
        return broadcastTime
```

reduce algorithm uses Algorithm 7 to compute WMBT lowers bounds for each vertex. The main idea of the algorithm is to check if the lower bound of a vertex plus its transmission cost is greater than the best WMBT found. If so, we can delete some of this edges.

Definition 11. Given an instance $G\left(V, E, S, W_{e}, W_{v}\right)$, for a vertex $v \in V$, there is a lower bound on the time required to $v$ receive any message from $S$, denoted by $l b[v]$, which is computed by the LBB-Dijkstra algorithm.

Definition 12. Lete $=(v, u) \in E$ an edge with weight $w_{e}=W_{e}(e)$ for an instance $G\left(V, E, S, W_{e}, W_{v}\right)$. The cost of edge cost $(e)$ is computed as $\min \left(l b[v]+W_{v}[u], l b[u]+W_{v}[v]\right)+w_{e}$.

Theorem 13. Let $b$ be the WMBT of a primal solution for an instance $G\left(V, E, S, W_{e}, W_{v}\right)$ and $e \in E$ an edge of $G$. If $\operatorname{cost}(e)>b$, then not exists an optimal solution $B$, which has a lower WMBT than the $b$ such that e belong to $B$.

Proof. Let $B^{\prime}$ be a solution of $G\left(V, E, S, W_{e}, W_{v}\right)$ with WMBT $b^{\prime} \leq b$ such that $e \in S^{\prime}$. The edge $e$ can be used in the broadcast to transmit from $u$ to $v$ or from $v$ to $u$. The vertex $v$ can receive the message (sent by $u$ ) at time $l b[u]+W_{v}[v]+w_{e}$. Similarly, the vertex $u$ can receive the message

```
Algorithm 9: Exact greedy algorithm for WMBT
    Input : Weighted Undirected graph: \(G=\left(V, E, W_{v}, W_{e}\right)\),
        Source set: \(V_{0}\)
    Output: Broadcast time: broadcastTime
    ImprovedGreedyAlgorithm ( \(G, V_{0}\) )
        for \(v \in V\) do
            \(\operatorname{cost}[v] \leftarrow \infty\)
        \(I \leftarrow \emptyset \quad\) // let \(I\) be a set
        for \(v_{0} \in V_{0}\) do
            \(\operatorname{cost}\left[v_{0}\right] \leftarrow W_{v}\left[v_{0}\right]\)
            \(I \leftarrow I \cup\left\{v_{0}\right\}\)
        \(E_{b} \leftarrow \emptyset \quad / /\) let \(E_{b}\) be a set
        \(U \leftarrow V \backslash\left\{v_{0}\right\} \quad\) // let \(U\) be a set
        while \(U \neq \emptyset\) do
            \(v_{\alpha}, v_{\beta} \leftarrow \operatorname{argmin}\left(\operatorname{cost}\left[v_{i}\right]+W_{v}\left[v_{j}\right]+W_{e}\left[\left(v_{i}, v_{j}\right)\right]\right)\)
                    \(i \in I, j \in U,(i, j) \in E\)
        \(\operatorname{cost}\left[v_{\beta}\right] \leftarrow \operatorname{cost}\left[v_{\alpha}\right]+W_{v}\left[v_{\beta}\right]+W_{e}\left[\left(v_{i}, v_{j}\right)\right]\)
        \(\operatorname{cost}\left[v_{\alpha}\right] \leftarrow \operatorname{cost}\left[v_{\alpha}\right]+W_{e}\left[\left(v_{i}, v_{j}\right)\right]\)
        \(I \leftarrow I \cup\left\{v_{\beta}\right\}\)
        \(U \leftarrow U \backslash\left\{\nu_{\beta}\right\}\)
        \(E_{b} \leftarrow E_{b} \cup\left\{\left(v_{\alpha}, v_{\beta}\right)\right\}\)
        broadcastTime \(\leftarrow\) wSCHA \(\left(\left(V, E_{b}, V_{0}, W_{v}, W_{e}\right)\right)\)
        return broadcastTime
```

```
Algorithm 10: Algorithm to remove edges of graph
    Input : Weighted graph: \(G=\left(V, E, S, W_{v}, W_{e}\right)\), Lower bounds: lbs,
        Broadcast time: broadcastTime
    Output: Weighted graph: \(G_{c}\)
    ReduceRules ( \(G, l b s\), broadcastTime)
        \(E_{c} \leftarrow \emptyset\)
        \(W_{e_{c}} \leftarrow \emptyset\)
        for each \(e \in E\) do
            \(\operatorname{cost} \leftarrow \min \left(l b s\left[e_{v}\right]+W_{v}\left[e_{u}\right], l b s\left[e_{u}\right]+W_{v}\left[e_{v}\right]\right)+e_{w}\)
            if cost \(\leq\) broadcast Time then
                \(E_{c} \leftarrow E_{c} \cup\{e\}\)
                    \(W_{e_{c}}[e] \leftarrow W_{e}[e]\)
        \(G_{c}=\left(V, E_{c}, S, W_{v}, W_{e}\right)\)
        return \(G_{c}\)
```

(sent by $v$ ) at time $l b[v]+W_{v}[u]+w_{e}$. Therefore, $S^{\prime}$ (and any solution that uses the edge $e$ ) must have a WMBT of at least $\min \left(l b[v]+W_{v}[u], l b[u]+W_{v}[v]\right)+w_{e}=\operatorname{cost}(e)$, contradicting our assumption that $b^{\prime} \leq b$.

Rules 14. Given instance $G\left(V, E, S, W_{e}, W_{v}\right)$, a solution $S$ of $G$ with $b$ an edge $e$ such that $\operatorname{cost}(e)>b$, then delete $e$ from $G$.

### 3.2.6 BRKGA for the WMBT

In this section, we propose two BRKGA decoders for the WMBT: (i) Dijkstra-based with Weight-ed-SCHA, (ii) Minimum Spanning Forest-based with Weighted-SCHA refinement. Both decoders start by generating a forest to be used as input for Weighted-SCHA. We do not apply the same ideas in Chapter 2 since the decoders of MBT can not represent all solutions of WMBT in the search space. Figure 3.2 shows an example of an instance such that the FRFS decoder can not construct the optimal solution. In this example, any subgraph generated by the FRFS decoder will have the edge $\left(g_{1}, d_{2}\right)$, but this edge does not belong to the optima.

In preliminary tests, we realize that an initial population with a single greedy solution produced good results. Thus, Algorithm 11 starts by computing the greedy solution using Algorithm 9 and the lower bound for each vertex in $V$. After that, in the multi-start loop (lines 2-3), it is applied the ReduceRule and population are initialized (including the greedy solution). In the main loop (lines 4-15), we evolve the population and evaluate it using a decoder method (line 10), which will be presented in the following sections. Finally, we finish the main loop after maxIt attempts without improvement, and then we reset the population (lines 11-14).

```
Algorithm 11: BRKGA for the WMBT.
    Input : Weighted graph: \(G=\left(V, E, W_{v}, W_{e}\right)\),
            Source set: \(V_{0}\), Maximum number of generations without improvement: maxIt
    Output: Schedule Broadcast: \(S\)
    BRKGA ( \(G, V_{0}\), maxIt )
        \(\left.S^{*} \leftarrow \operatorname{ImprovedGreedyAlgorithm~(~}\left(V, E, V_{0}, W_{v}, W_{e}\right)\right)\)
        \(L b \leftarrow \mathrm{LB}\left(G, V_{0}\right)\)
        while stopping criterion not met do
            \(G \leftarrow\left\{\operatorname{ReduceRules}\left(G, L b\right.\right.\), Fitness \(\left.\left.\left(S^{*}\right)\right)\right\}\)
            \(P \leftarrow\left\{\right.\) EncodeSolution \(\left.\left(S^{*}\right)\right\}\)
            \(P \leftarrow P \cup\) InitPopulation \(\left(G, V_{0}\right)\) // Inicialize the first BRKGA population
            noImprovement \(\leftarrow 0\)
            while stopping criterion not met or does not improve the solution do
                \(P \leftarrow\) BRKGA_Evolve \((P)\)
                noImprovement \(\leftarrow\) noImprovement +1
                if noImprovement \(=\) maxIt then // If no improvement after maxIt
                    generations
                noImprovement \(\leftarrow 0\)
                \(P \leftarrow \operatorname{ResetPopulation~}(P) \quad / /\) Reset the BRKGA population
            \(S^{*} \leftarrow\) GetBestIndividual \((P) \quad / /\) Get the best individual of the
            population
        return \(S^{*}\)
```


### 3.2.6.1 Dijkstra-based decoder (DJ)

The Dijkstra-based decoder describes a solution by a set of $|E|$ random keys (allele). Each random key represents the priority of an edge to be added on the broadcast forest, i.e., the edge with the lowest allele has the highest priority, and so on. We use the forest of Dijkstra as input to Weighted-SCHA for computing the WMBT. Overall, the worst-case running time of the decoder function is $O(|E| \cdot \log |V|)$.

```
Algorithm 12: DJ decoder
    Input : Weighted graph: \(G=\left(V, E, S, W_{v}, W_{e}\right)\),
                Chromosomes vector: Cr
    Output: Total step time to broadcast: broadcastTime
    DJ \((G, C r)\)
        for \(v \in V\) do
                \(\operatorname{cost}[v] \leftarrow \infty\)
        \(I \leftarrow S \quad\) // let \(I\) be a set
        \(E_{b} \leftarrow \emptyset \quad / /\) let \(E_{b}\) be a set
        for \(v \in V\) do
            \(\operatorname{cost}[v] \leftarrow \infty\)
        \(U \leftarrow V \backslash S \quad\) // let \(U\) be a set
        \(\operatorname{cost}\left[v_{0}\right] \leftarrow 0\)
        while \(U \neq \emptyset\) do
            \(v_{\alpha}, v_{\beta} \leftarrow \operatorname{argmin}\left(\operatorname{cost}\left[v_{i}\right]+\operatorname{Cr}\left[\left(v_{i}, v_{j}\right)\right]\right)\)
                \(i \in I, j \in U,(i, j) \in E\)
            \(I \leftarrow I \cup\left\{v_{\beta}\right\}\)
            \(U \leftarrow U \backslash\left\{v_{\beta}\right\}\)
            \(E_{b} \leftarrow E_{b} \cup\left\{\left(v_{\alpha}, v_{\beta}\right)\right\}\)
        broadcastTime \(\leftarrow\) WSCHA \(\left(\left(V, E_{b}, S, W_{v}, W_{e}\right)\right)\)
        return broadcastTime
```


### 3.2.6.2 Minimum Spanning Forest-based decoder (MSF)

In this section, we describe a Minimum Spanning Forest-based decoder (MSF). In this approach, each random key is associated with an edge. Each allele indicates the weight of this edge. Next, we compute the minimum spanning forest using Kruskal Algorithm (Kruskal, 1956), . Finally, we compute the WMBT over this forest using the Weighted-SCHA. Overall, the worst-case running time of the decoder function is $O(|E| \cdot \log |E|)$.

### 3.3 Computacional results of the WMBT

This section presents the computational experiments conducted to evaluate the effectiveness of our proposed ILP and BRKGAs. The proposed algorithms are compared with the following state-of-the-art approaches: (i) an adaptation of Ant Colony metaheuristic (ACS) from Hasson and

```
Algorithm 13: MSF decoder
    Input : Weighted graph: \(G=\left(V, E, S, W_{v}, W_{e}\right)\),
                Chromosomes vector: Cr
    Output: Total step time to broadcast: broadcastTime
    Root (v, parent)
        if parent \([v]<0\) then
            return \(v\)
        parent \([v] \leftarrow \operatorname{Root}\) (parent \([v]\), parent)
        return parent \([v]\)
    Merge ( \(u, v\), parent)
        \(u \leftarrow \operatorname{Root}(u\), parent \()\)
        \(v \leftarrow \operatorname{Root}(v\), parent \()\)
        parent \([v] \leftarrow\) parent \([u]\)
    \(\operatorname{MSF}(G, C r)\)
        \(E_{b} \leftarrow \emptyset\)
        for each \(v \in V\) do
            parent \([v] \leftarrow-1\)
        \(r \leftarrow \forall v_{0} \in S\)
        for each \(v_{0} \in V_{0}\) do
            Merge ( \(r, v_{0}\) )
        Ranking \(\leftarrow \emptyset\)
        for each \(e \in E\) do
            Ranking \(\leftarrow\) Ranking \(\cup\left\{\left(\operatorname{Cr}[e], e_{v}, e_{u}\right)\right\}\)
        while \(\left|E_{b}\right|+|S| \neq|V|\) do
            \(e \leftarrow\) ExtractMin (Ranking)
            Ranking \(\leftarrow\) Ranking \(\backslash\{e\}\)
            if \(\operatorname{Root}\left(e_{v}\right.\), parent \() \neq \operatorname{Root}\left(e_{u}\right.\), parent \()\) then
                \(E_{b} \leftarrow E_{b} \cup\left\{\left(e_{v}, e_{u}\right)\right\}\)
                Merge \(\left(e_{v}, e_{u}\right)\)
        broadcastTime \(\leftarrow\) WSCHA \(\left(\left(V, E_{b}, S, W_{v}, W_{e}\right)\right)\)
        return broadcastTime
```

Sipper (2004); and (ii) an adaption of constructive heuristic from Harutyunyan and Kamali (2008). For the ACS algorithm, we use the Weighted-SCHA algorithm to refine the partial solutions. We adapt the constructive heuristic from Harutyunyan and Kamali (2008) by adding weights in the edges.

All experiments in this section were conducted on an Intel Core i7-6700 with $3.40 \mathrm{GHz}, 32$ GB of RAM, running Ubuntu 18.04.5. The heuristic algorithms were coded in C++ and compiled with g++ 7.5 and '-O3' flag. The BRKGA C++ framework developed by Toso and Resende (2015) has been used to implement our BRKGA. Moreover, IBM Cplex 12.9 has been adopted to solve the ILP models.

### 3.3.1 Instances

We tested our algorithms on a total of 40 instances, which include:

- Random graph (20 instances): The random graph $G_{r}$ is based on the $\mathbb{G}(n, p)$ model, also known as binomial model (Gilbert, 1959). Each graph $G_{r}=\left(V, E_{r}\right)$ is generated with $n$ vertices and each potential edge in $E_{r}$ is created with probability $p$. The vertices and edges weights have been created using a uniform distribution.
- Large synthetic instances based on random tree (20 instances): Given that the optimal solution for large the WMBT instances is often unknown, we generated a new benchmark with known optimal solutions using the following procedure.

Algorithm 14 creates a random instance $G=\left(V, E, W_{v}, W_{e}\right)$ by applying the union of a weighted-vertex-and-edge random tree $T=\left(V_{T}, E_{T}, W_{v_{T}}, W_{e_{T}}\right)$ and a weighted-edge random graph $G_{r}=\left(V_{r}, E_{r}, W_{r}\right)$, where $V=V_{T}=V_{r}, E=E_{T} \cup E_{r}, W_{v}=W_{v_{T}}$ and $W_{e}=W_{e_{T}} \cup W_{r}$. First, we calculate the optimal broadcast time of $T$ by using the Algorithm 8 (WMBT-Tree). After that, we created a random graph $G_{r}$ to merge with $T$ into $G$. Finally, for each new edge in $G_{r}$, we assigned a weight value preserving the optimal solution found previously.

Appendix A. 2 gives more information regarding the adopted instances, such as the number of vertices and edges.

### 3.3.2 Parameter settings and experimental protocol

In our experiments with the ACS algorithm, we adopted the same parameter settings indicated by its authors. Moreover, we have used the irace tunning tool (López-lbáñez et al., 2016) to configure the parameters of our algorithms and their variations. The best parameter settings identified by the tuning experiment are reported in Table 3.2. In this table, BRKGA parameters $p, p_{e}$, $p_{m}, \rho_{e}$, and $K$ represent, respectively, the number of individuals in each population, percentage of elite individuals into each population, percentage of mutants introduced at each generation into the population, the probability that an offspring inherits the allele of its elite parent, and the number of independent populations.

Table 3.2: Range considered by IRACE and best parameter settings obtained.

| Parameter | Value ranges | BRKGA-DJ | BRKGA-MSF |
| :--- | :---: | :---: | :---: |
| $p$ | - | $\|V\|$ | $\|V\|$ |
| $p_{e}$ | $0.10,0.11, \ldots, 0.25$ | 0.24 | 0.25 |
| $p_{m}$ | $0.10,0.11, \ldots, 0.30$ | 0.23 | 0.22 |
| $\rho_{e}$ | $0.50,0.51, \ldots, 0.80$ | 0.59 | 0.52 |
| $K$ | - | 1 | 1 |

We have set a time limit of $3600 \mathrm{~s}(1 \mathrm{~h})$ to Cplex for solving our ILP model. To assess the

```
Algorithm 14: Create a random weighted graph with optima known.
    Input : Number of vertices: \(n\),
            density: \(d\)
    Output: Weighted-vertex-and-edge graph: \(G\left(V_{G}, E_{G}, S, W_{v}, W_{e}\right)\),
            minimum broadcast time of graph \(G\) : wmbt
    GenerateWeightedGraph \((n, d)\)
        \(T \leftarrow\) RandomTree ( \(n\) )
        root \(\leftarrow \operatorname{Random}(0, n)\)
        for each \(v \in V(T)\) do
            \(W_{v_{T}}[v] \leftarrow \operatorname{Random}(0, \infty)\)
        for each \(e \in E(T)\) do
            \(W_{e_{T}}[e] \leftarrow \operatorname{Random}(1, \infty)\)
        \(S \leftarrow\) WMBT-Tree \(\left(\left(V(T), V(E), W_{v_{T}}, W_{e_{T}}\right)\right.\), root \()\)
        times \(\leftarrow\) GetTimes \((S)\)
        \(G_{r} \leftarrow\) RandomGraph \((n, d)\)
        \(V_{G} \leftarrow V(T)\)
        \(W_{v} \leftarrow W_{v_{T}}\)
        \(E_{G} \leftarrow E(T)\)
        \(W_{e} \leftarrow W_{e_{T}}\)
        for each \(e \in E\left(G_{r}\right) \backslash E(T)\) do
            \(E_{G} \leftarrow E_{G} \cup\{e\}\)
            differentTime \(\leftarrow\) abs (times \([\) e..\(v]\)-times \([\)..\(u])\)
            \(W_{e}[e] \leftarrow\) differentTime + Random \((1, \infty)\)
        wmbt \(\leftarrow \max _{v \in V}(\) times \([v])\)
        \(G \leftarrow\left(V_{G}, E_{G},\{r o o t\}, W_{v}, W_{e}\right)\)
        return \(G, w m b t\)
```

average performance of the heuristic algorithms (BRGKAs and ACS), we have performed 10 runs in each benchmark instance with different random seeds for each run. A time limit of 300 s has been used for these runs.

### 3.3.3 Comparing the deterministic algorithms

Table 3.3 compares the algorithm of Harutyunyan and Kamali (2008) (Algorithm 6), our improvement in this algorithm (Algorithm 9), and the ILP model in all instances. The methods are compared using only the following criteria: the WMBT, and the CPU time to find the best solution (column 't (s)'). An asterisk means that the method has been able to prove the optimality of a given result. Since the algorithms are deterministic, we run them only one time.

Note that Harutyunyan-WSCHA attains or improves the quality of the solutions obtained by Harutyunyan on all instances. In the ILP model, we set the lower bound using Algorithm 7. We also used the WMBT value of Harut yunyan-WSCHA as the upper bound to reduce the computational demand and the total amount of used memory. The ILP model does not produce feasible solutions for instances with more than 512 vertices. But in graphs with up to 256 vertices, the
model showed a significant result.
Table 3.3: Comparative results of deterministic algorithms.

| Instance | Lower Bound | HARUTYUNYAN |  | HARUTYUNYAN-WSCHA |  | ILP |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | WMBT | $t$ (s) | WMBT | t (s) | WMBT | t (s) |
| R1024-G1-D10-1 | 13 | 20 | 0.19 | 19 | 0.19 | - | 3600.00 |
| R1024-G1-D15-1 | 15 | 22 | 0.30 | 21 | 0.30 | - | 3600.00 |
| R1024-G1-D20-1 | 9 | 17 | 0.40 | 16 | 0.39 | - | 3600.00 |
| R1024-G1-D25-1 | 9 | 17 | 0.48 | 16 | 0.47 | - | 3600.00 |
| R128-G1-D10-1 | 20 | 29 | < 0.01 | 27 | $<0.01$ | 23 | 3575.57 |
| R128-G1-D15-1 | 20 | 28 | $<0.01$ | 27 | $<0.01$ | 23 | 3603.59 |
| R128-G1-D20-1 | 18 | 26 | $<0.01$ | 25 | $<0.01$ | 24 | 3605.44 |
| R128-G1-D25-1 | 15 | 22 | $<0.01$ | 20 | $<0.01$ | 18 | 3606.34 |
| R256-G1-D10-1 | 15 | 24 | $<0.01$ | 23 | $<0.01$ | - | 3600.00 |
| R256-G1-D15-1 | 16 | 22 | $<0.01$ | 21 | $<0.01$ | - | 3600.00 |
| R256-G1-D20-1 | 10 | 18 | 0.01 | 16 | 0.01 | - | 3600.00 |
| R256-G1-D25-1 | 12 | 17 | 0.01 | 16 | 0.01 | - | 3600.00 |
| R512-G1-D10-1 | 13 | 21 | 0.03 | 21 | 0.02 | - | 3600.00 |
| R512-G1-D15-1 | 16 | 23 | 0.04 | 22 | 0.04 | - | 3600.00 |
| R512-G1-D20-1 | 10 | 18 | 0.05 | 17 | 0.05 | - | 3600.00 |
| R512-G1-D25-1 | 13 | 19 | 0.06 | 18 | 0.06 | - | 3600.00 |
| R64-G1-D10-1 | 22 | 28 | <0.01 | 27 | $<0.01$ | 23 | 36.45 |
| R64-G1-D15-1 | 20 | 25 | <0.01 | 24 | $<0.01$ | 22 | 42.99 |
| R64-G1-D20-1 | 20 | 30 | $<0.01$ | 29 | $<0.01$ | 25 | 3600.64 |
| R64-G1-D25-1 | 16 | 21 | <0.01 | 21 | < 0.01 | 18 | 51.16 |
| RO1024-G1-D10-1 | 440 | 492 | 0.19 | 490 | 0.19 | - | 3600.00 |
| RO1024-G1-D15-1 | 550 | 609 | 0.30 | 603 | 0.30 | - | 3600.00 |
| RO1024-G1-D20-1 | 479 | 536 | 0.40 | 532 | 0.39 | - | 3600.00 |
| RO1024-G1-D25-1 | 353 | 393 | 0.48 | 393 | 0.47 | - | 3600.00 |
| RO128-G1-D10-1 | 117 | 139 | < 0.01 | 124 | $<0.01$ | - | 3600.00 |
| RO128-G1-D15-1 | 186 | 214 | <0.01 | 186 | $<0.01$ | - | 3600.00 |
| RO128-G1-D20-1 | 167 | 202 | $<0.01$ | 197 | $<0.01$ | - | 3600.00 |
| RO128-G1-D25-1 | 122 | 149 | <0.01 | 149 | $<0.01$ | - | 3600.00 |
| RO256-G1-D10-1 | 250 | 282 | $<0.01$ | 279 | $<0.01$ | - | 3600.00 |
| RO256-G1-D15-1 | 208 | 239 | $<0.01$ | 237 | $<0.01$ | - | 3600.00 |
| RO256-G1-D20-1 | 169 | 202 | <0.01 | 197 | 0.01 | - | 3600.00 |
| RO256-G1-D25-1 | 211 | 243 | 0.01 | 241 | 0.01 | - | 3600.00 |
| RO512-G1-D10-1 | 288 | 335 | 0.03 | 331 | 0.02 | - | 3600.00 |
| RO512-G1-D15-1 | 409 | 479 | 0.04 | 476 | 0.04 | - | 3600.00 |
| RO512-G1-D20-1 | 297 | 333 | 0.05 | 332 | 0.05 | - | 3600.00 |
| RO512-G1-D25-1 | 320 | 362 | 0.06 | 356 | 0.06 | - | 3600.00 |
| RO64-G1-D10-1 | 74 | 95 | <0.01 | 74* | < 0.01 | 74* | 15.23 |
| RO64-G1-D15-1 | 108 | 128 | <0.01 | 128 | < 0.01 | 109 | 52.02 |
| RO64-G1-D20-1 | 87 | 99 | $<0.01$ | 88 | $<0.01$ | 88 | 57.86 |
| RO64-G1-D25-1 | 140 | 168 | $<0.01$ | 168 | $<0.01$ | - | 3600.00 |
| \# Best |  | 4 |  | 31 |  | 11 |  |
| Avg. t (s) |  | 0.08 |  | 0.08 |  | 3066.18 |  |

### 3.3.4 Validating the reducing rules

This section shows the benefits of using the proposed reducing rules (RR). Tables 3.4, 3.5 and 3.6 compare the decoder with and without the RR. We considered instances with a density of
$25 \%$, a time limit of 600 seconds, and 5 runs with different random seeds for each run. Moreover, we behold that the RR can reduce the density by $5-95 \%$. Consequently, the BRKGA gets faster.

Table 3.4: Comparative results of BRKGA-DJs with and without RR

| Method | BRKGA-DJ <br> without RR | BRKGA-DJ <br> with RR |
| :---: | :---: | :---: |
| \# Best | 9 | $\mathbf{1 0}$ |
| \# Best Avg. | 8 | $\mathbf{1 0}$ |
| Avg.t (s) | 129.66 | $\mathbf{5 5 . 6 8}$ |

Table 3.5: Comparative results of BRKGA-MSFs with and without RR

| Method | BRKGA-MSF <br> without RR | BRKGA-MSF <br> with RR |
| :---: | :---: | :---: |
| \# Best | 8 | $\mathbf{1 0}$ |
| \# Best Avg. | 8 | $\mathbf{9}$ |
| Avg. t (s) | 140.93 | $\mathbf{1 1 3 . 3 9}$ |

Table 3.6: Comparative results of BRKGAs with and without RR

| Method | BRKGA-DJ <br> without RR | BRKGA-MSF <br> without RR | BRKGA-DJ <br> with RR | BRKGA-MSF <br> with RR |
| :---: | :---: | :---: | :---: | :---: |
| \# Best | 8 | 8 | 9 | $\mathbf{1 0}$ |
| \# Best Avg. | 7 | $\mathbf{8}$ | $\mathbf{8}$ | $\mathbf{8}$ |
| Avg. t (s) | 189.65 | 140.93 | 115.68 | $\mathbf{1 1 3 . 3 9}$ |

### 3.3.5 Comparing the metaheuristics

Table 3.7 compares the heuristics ACS-WSCHA, Harutyunyan-WSCHA and BRKGAs in all instances for the WMBT. The results show that BRKGAs outperform both heuristics from the literature in solution quality and CPU time. The BRKGA-MSF found 38 best solutions, whereas this number was 36 for the BRKGA-DJ. Both decodes have similar computational times.

The BRKGAs initialize the initial population with an element based on a solution of Harutyunyan-WSCHA, so it is prospective that the BRKGA would be better than its.

Table 3.7: Comparative results of ACS, BRKGAs and HARUTYUNYAN-WSCHA

| Instance | BRKGA-DJ |  |  | BRKGA-MSF |  |  | HARUTYUNYAN-WSCHA |  | ACS-WSCHA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Best | Avg. | t (s) | Best | Avg. | t (s) | Best | t (s) | Best | Avg. | t (s) |
| R1024-G1-D10-1 | 19 | 19.00 | 0.24 | 19 | 19.00 | 0.24 | 19 | 0.24 | 391 | 391.00 | 300.00 |
| R1024-G1-D15-1 | 21 | 21.00 | 0.36 | 21 | 21.00 | 0.36 | 21 | 0.38 | 512 | 512.00 | 300.00 |
| R1024-G1-D20-1 | 16 | 16.00 | 0.46 | 16 | 16.00 | 0.46 | 16 | 0.49 | 735 | 735.00 | 300.00 |
| R1024-G1-D25-1 | 16 | 16.00 | 0.57 | 16 | 16.00 | 0.57 | 16 | 0.59 | 843 | 843.00 | 300.00 |
| R128-G1-D10-1 | 26 | 26.80 | 256.73 | 26 | 26.80 | 273.74 | 27 | < 0.01 | 58 | 58.00 | 300.00 |
| Continued on next page |  |  |  |  |  |  |  |  |  |  |  |

Table 3.7 - continued from previous page

| Instance | BRKGA-DJ |  |  | BRKGA-MSF |  |  | HARUTYUNYAN-WSCHA |  | ACS-WSCHA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Best | Avg. | t (s) | Best | Avg. | t (s) | Best | t (s) | Best | Avg. | t (s) |
| R128-G1-D15-1 | 26 | 26.80 | 275.70 | 26 | 26.70 | 257.74 | 27 | $<0.01$ | 70 | 70.00 | 300.00 |
| R128-G1-D20-1 | 24 | 24.90 | 299.05 | 25 | 25.00 | 300.00 | 25 | $<0.01$ | 93 | 93.00 | 300.00 |
| R128-G1-D25-1 | 20 | 20.00 | $<0.01$ | 20 | 20.00 | $<0.01$ | 20 | $<0.01$ | 130 | 130.00 | 300.00 |
| R256-G1-D10-1 | 23 | 23.00 | < 0.01 | 23 | 23.00 | < 0.01 | 23 | $<0.01$ | 97 | 97.00 | 300.00 |
| R256-G1-D15-1 | 21 | 21.00 | 0.01 | 21 | 21.00 | 0.01 | 21 | 0.01 | 135 | 135.00 | 300.00 |
| R256-G1-D20-1 | 16 | 16.00 | 0.01 | 16 | 16.00 | 0.01 | 16 | 0.01 | 158 | 158.00 | 300.00 |
| R256-G1-D25-1 | 16 | 16.00 | 0.01 | 16 | 16.00 | 0.01 | 16 | 0.01 | 197 | 197.00 | 300.00 |
| R512-G1-D10-1 | 21 | 21.00 | 0.03 | 21 | 21.00 | 0.03 | 21 | 0.03 | 194 | 194.00 | 300.00 |
| R512-G1-D15-1 | 22 | 22.00 | 0.04 | 22 | 22.00 | 0.04 | 22 | 0.05 | 297 | 297.00 | 300.00 |
| R512-G1-D20-1 | 17 | 17.00 | 0.06 | 17 | 17.00 | 0.06 | 17 | 0.06 | 340 | 340.00 | 300.00 |
| R512-G1-D25-1 | 18 | 18.00 | 0.07 | 18 | 18.00 | 0.07 | 18 | 0.08 | 407 | 407.00 | 300.00 |
| R64-G1-D10-1 | 25 | 25.30 | 146.31 | 25 | 25.60 | 201.67 | 27 | $<0.01$ | 38 | 38.00 | 300.00 |
| R64-G1-D15-1 | 23 | 23.80 | 277.72 | 23 | 23.70 | 226.22 | 24 | $<0.01$ | 52 | 52.00 | 300.00 |
| R64-G1-D20-1 | 28 | 28.00 | 300.00 | 27 | 27.90 | 278.18 | 29 | $<0.01$ | 72 | 72.00 | 300.00 |
| R64-G1-D25-1 | 19 | 19.40 | 142.70 | 19 | 19.50 | 195.32 | 21 | $<0.01$ | 56 | 56.00 | 300.00 |
| RO1024-G1-D10-1 | 490 | 490.00 | 0.23 | 490 | 490.00 | 0.23 | 490 | 0.24 | 43309 | 43309.00 | 300.00 |
| RO1024-G1-D15-1 | 603 | 603.00 | 0.35 | 603 | 603.00 | 0.35 | 603 | 0.37 | 73373 | 73373.00 | 300.00 |
| RO1024-G1-D20-1 | 532 | 532.00 | 0.45 | 532 | 532.00 | 0.45 | 532 | 0.48 | 106708 | 106708.00 | 300.00 |
| RO1024-G1-D25-1 | 393 | 393.00 | 0.56 | 393 | 393.00 | 0.56 | 393 | 0.59 | 91319 | 91319.00 | 300.00 |
| RO128-G1-D10-1 | 124 | 124.00 | $<0.01$ | 124 | 124.00 | $<0.01$ | 124 | $<0.01$ | 1567 | 1568.10 | 300.00 |
| RO128-G1-D15-1 | 186 | 186.00 | $<0.01$ | 186 | 186.00 | $<0.01$ | 186 | $<0.01$ | 3655 | 3655.00 | 300.00 |
| RO128-G1-D20-1 | 182 | 192.90 | 300.00 | 167 | 191.40 | 287.96 | 197 | $<0.01$ | 3829 | 3829.00 | 300.00 |
| RO128-G1-D25-1 | 122 | 140.90 | 245.69 | 122 | 138.70 | 268.81 | 149 | $<0.01$ | 3720 | 3720.00 | 300.00 |
| RO256-G1-D10-1 | 277 | 278.80 | 271.21 | 277 | 278.80 | 285.83 | 279 | $<0.01$ | 5878 | 5882.30 | 300.00 |
| RO256-G1-D15-1 | 232 | 235.50 | 300.00 | 209 | 234.10 | 281.18 | 237 | 0.01 | 8603 | 8603.00 | 300.00 |
| RO256-G1-D20-1 | 197 | 197.00 | 300.00 | 189 | 195.10 | 277.43 | 197 | 0.01 | 8056 | 8056.00 | 300.00 |
| RO256-G1-D25-1 | 238 | 240.30 | 249.51 | 241 | 241.00 | 300.00 | 241 | 0.01 | 10637 | 11548.90 | 300.00 |
| RO512-G1-D10-1 | 331 | 331.00 | 0.03 | 331 | 331.00 | 0.03 | 331 | 0.03 | 14470 | 14640.60 | 300.00 |
| RO512-G1-D15-1 | 476 | 476.00 | 0.04 | 476 | 476.00 | 0.04 | 476 | 0.05 | 31088 | 31088.00 | 300.00 |
| RO512-G1-D20-1 | 332 | 332.00 | 0.06 | 332 | 332.00 | 0.06 | 332 | 0.06 | 30598 | 30598.00 | 300.00 |
| RO512-G1-D25-1 | 356 | 356.00 | 0.07 | 356 | 356.00 | 0.07 | 356 | 0.08 | 36776 | 36776.00 | 300.00 |
| RO64-G1-D10-1 | 74 | 74.00 | $<0.01$ | 74 | 74.00 | $<0.01$ | 74 | $<0.01$ | 485 | 485.00 | 300.00 |
| RO64-G1-D15-1 | 109 | 109.00 | 5.81 | 109 | 109.00 | 65.92 | 128 | $<0.01$ | 914 | 916.00 | 300.00 |
| RO64-G1-D20-1 | 88 | 88.00 | $<0.01$ | 88 | 88.00 | < 0.01 | 88 | $<0.01$ | 1044 | 1044.00 | 300.00 |
| RO64-G1-D25-1 | 140 | 142.80 | 71.03 | 140 | 140.00 | 37.46 | 168 | $<0.01$ | 2636 | 2636.00 | 300.00 |
| Avg. t (s) |  | 86.13 |  |  | 88.53 |  |  | 0.1 |  | 300 |  |
| \# Best |  | 36 |  |  | 38 |  |  | 25 |  | 0 |  |
| \# Best Avg. |  | 32 |  |  | 36 |  |  | 25 |  | 0 |  |

## Final Considerations

In this work, we proposed some algorithms for the Minimum Broadcast Time problem and its weighted version (WMBT). For the MBT, we have described a new lower bound procedure for the problem based on vertex distances in the input graph. This procedure can reduce computational resources and heuristic algorithms used to prove the optimality. The experimental results reveal that our approach increased several lower bounds and helped the Integer Linear Programming (ILP) model and our BRKGA prove several previously unknown optima.

We proposed two BRKGA metaheuristics and a matheuristic using BRKGA and ILP, our approaches outperformed ACS (Hasson and Sipper, 2004) and ILP (de Sousa et al., 2018) in both solution quality and CPU time. In our best approaches, we used the idea described in Koh and Tcha (1991); Su et al. (2010) to improve solution quality. For all instances for the MBT with known optima value, our approaches either attained the optimal value or missed it by at most one broadcast step. Moreover, we described a method to create instances with known optima. To the best of our knowledge, this is the first time that major efforts were made to study, generate, and solve hard instances for the MBT.

The WMBT is even harder to handle than the MBT because the broadcast scheme does not follow a standard pattern. There are a smaller amount of articles. To the best of our knowledge, we proposed the first mathematical model for the WMBT. Thus, we formulated three ILP models (one for each variant of the WMBT). Moreover, we adapted our lower bound of MBT algorithm to WMBT. We adopted a similar refinement of MBT to improve solution quality, which the experimental computational showed a significant improvement. We proposed two BRKGA metaheuristics, which outperformed the algorithms in the literature. Finally, we proposed a reducing rule.

### 4.1 Future works

We also plan to extend the proposed algorithm to solve related problems, such as the following MBT or WMBT:
(i) with at most $k$ transmissions ( $k$-broadcast) (Lazard, 1992; Harutyunyan and Liestman, 2001),
(ii) proposal an algorithm distributed for problems, and
(iii) apply our algorithms for solving real problems, such as swarm robotics (Al-Sarawi et al., 2017).

### 4.2 Scientific production

This master's degree resulted in the following scientific production:
(i) An article published in the Simpósio Brasileiro de Pesquisa Operacional (SBPO) (Lima et al., 2020) composed by part of Chapter 2;
(ii) An article in revision in the International Transactions in Operational Research (ITOR) composed by Chapter 2.
(iii) An article published in IEEE Systems, Man, and Cybernetics Society (SMC) (Lima et al., 2021), in cooperation with others researches;

Finally, we are writing a new article composed by the results of Chapter 3.

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## Appendix A

## Detailed instances

## A. 1 Instances for the MBT.

For each instance, Table A. 1 gives the name (column 'Instance'), the number of vertices (column ' $|V|^{\prime}$ ), the number of edges (column ' $|E|$ '), the initial source vertex (column ' $V_{0}$ '), the theoretical lower bound (column 'TLB’, see Eq. (2.1)), the lower bound found by the proposed Algorithm 1 (column 'LBB'), and the edge density (column 'density').

Table A.1: Description of the test instances.

| Instance | $\|V\|$ | $\|E\|$ | $V_{0}$ | $T L B$ | $L B B$ | density | Instance |  | $\|V\|$ | $\|E\|$ | $V_{0}$ | $T L B$ |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |

Table A. 1 - continued from previous page

| Instance | $\|V\|$ | $\|E\|$ | $V_{0}$ | TLB | LBB | density | Instance | $\|V\|$ | $\|E\|$ | $V_{0}$ | TLB | LBB | density |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Shuffle Exchange Graphs |  |  |  |  |  |  |  |  |  |  |  |  |  |
| $S E_{4}$ | 16 | 21 | \{1\} | 4 | 7 | 0.1750 | $S E_{5}$ | 32 | 46 | \{1\} | 5 | 9 | 0.0927 |
| $S E_{6}$ | 64 | 93 | \{1\} |  | 11 | 0.0461 | $S E_{7}$ | 128 | 190 | \{1\} | 7 | 13 | 0.0234 |
| $S E_{8}$ | 256 | 381 | \{1\} |  | 15 | 0.0117 | $S E_{9}$ | 512 | 766 | \{1\} | 9 | 17 | 0.0059 |
| $S E_{10}$ | 1024 | 1533 | \{1\} |  | 19 | 0.0029 |  |  |  |  |  |  |  |


| Network Repository |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| SW-100-3-0d1-trial1 | 100 | 100 | \{1\} | 7 | 61 | 0.0202 | SW-100-3-0d2-trial1 | 100 | 100 | \{1\} | 7 | 31 | 0.0202 |
| SW-100-3-0d2-trial3 | 100 | 100 | \{1\} | 7 | 31 | 0.0202 | SW-100-4-0d1-trial1 | 100 | 200 | \{1\} | 7 | 7 | 0.0404 |
| SW-100-4-0d1-trial2 | 100 | 200 | \{1\} | 7 | 7 | 0.0404 | SW-100-4-0d1-trial3 | 100 | 200 | \{1\} | 7 | 9 | 0.0404 |
| SW-100-4-0d2-trial1 | 100 | 200 | \{1\} | 7 | 7 | 0.0404 | SW-100-4-0d2-trial2 | 100 | 200 | \{1\} | 7 | 7 | 0.0404 |
| SW-100-4-0d2-trial3 | 100 | 200 | \{1\} | 7 | 7 | 0.0404 | SW-100-4-0d3-trial1 | 100 | 200 | \{1\} | 7 | 6 | 0.0404 |
| SW-100-4-0d3-trial2 | 100 | 200 | \{1\} | 7 | 6 | 0.0404 | SW-100-4-0d3-trial3 | 100 | 200 | \{1\} | 7 | 7 | 0.0404 |
| SW-100-5-0d1-trial1 | 100 | 200 | \{1\} | 7 | 8 | 0.0404 | SW-100-5-0d1-trial2 | 100 | 200 | \{1\} | 7 | 9 | 0.0404 |
| SW-100-5-0d1-trial3 | 100 | 200 | \{1\} | 7 | 11 | 0.0404 | SW-100-5-0d2-trial1 | 100 | 200 | \{1\} | 7 | 8 | 0.0404 |
| SW-100-5-0d2-trial2 | 100 | 200 | \{1\} | 7 | 9 | 0.0404 | SW-100-5-0d2-trial3 | 100 | 200 | \{1\} | 7 | 7 | 0.0404 |
| SW-100-5-0d3-trial1 | 100 | 200 | \{1\} | 7 | 6 | 0.0404 | SW-100-5-0d3-trial2 | 100 | 200 | \{1\} | 7 | 6 | 0.0404 |
| SW-100-5-0d3-trial3 | 100 | 200 | \{1\} | 7 | 6 | 0.0404 | SW-100-6-0d1-trial1 | 100 | 300 | \{1\} | 7 | 5 | 0.0606 |
| SW-100-6-0d1-trial2 | 100 | 300 | \{1\} | 7 | 6 | 0.0606 | SW-100-6-0d1-trial3 | 100 | 300 | \{1\} | 7 | 6 | 0.0606 |
| SW-100-6-0d2-trial1 | 100 | 300 | \{1\} | 7 | 6 | 0.0606 | SW-100-6-0d2-trial2 | 100 | 300 | \{1\} | 7 | 4 | 0.0606 |
| SW-100-6-0d2-trial3 | 100 | 300 | \{1\} | 7 | 4 | 0.0606 | SW-100-6-0d3-trial1 | 100 | 300 | \{1\} | 7 | 4 | 0.0606 |
| SW-100-6-0d3-trial2 | 100 | 300 | \{1\} | 7 | 5 | 0.0606 | SW-100-6-0d3-trial3 | 100 | 300 | \{1\} | 7 | 5 | 0.0606 |
| SW-1000-3-0d2-trial1 | 1000 | 1000 | \{1\} | 10 | 89 | 0.002 | SW-1000-3-0d2-trial2 | 1000 | 1000 | \{1\} | 10 | 88 | 0.002 |
| SW-1000-3-0d3-trial2 | 1000 | 1000 | \{1\} | 10 | 87 | 0.002 | SW-1000-4-0d1-trial1 | 1000 | 2000 | \{1\} | 10 | 14 | 0.004 |
| SW-1000-4-0d1-trial2 | 1000 | 2000 | \{1\} | 10 | 15 | 0.004 | SW-1000-4-0d1-trial3 | 1000 | 2000 | \{1\} | 10 | 15 | 0.004 |
| SW-1000-4-0d2-trial1 | 1000 | 2000 | \{1\} | 10 | 10 | 0.004 | SW-1000-4-0d2-trial2 | 1000 | 2000 | \{1\} | 10 | 10 | 0.004 |
| SW-1000-4-0d2-trial3 | 1000 | 2000 | \{1\} | 10 | 11 | 0.004 | SW-1000-4-0d3-trial1 | 1000 | 2000 | \{1\} | 10 | 9 | 0.004 |
| SW-1000-4-0d3-trial3 | 1000 | 2000 | \{1\} | 10 | 8 | 0.004 | SW-1000-5-0d1-trial1 | 1000 | 2000 | \{1\} | 10 | 14 | 0.004 |
| SW-1000-5-0d1-trial2 | 1000 | 2000 | \{1\} | 10 | 15 | 0.004 | SW-1000-5-0d1-trial3 | 1000 | 2000 | \{1\} | 10 | 12 | 0.004 |
| SW-1000-5-0d2-trial1 | 1000 | 2000 | \{1\} | 10 | 11 | 0.004 | SW-1000-5-0d2-trial2 | 1000 | 2000 | \{1\} | 10 | 10 | 0.004 |
| SW-1000-5-0d2-trial3 | 1000 | 2000 | \{1\} | 10 | 10 | 0.004 | SW-1000-5-0d3-trial1 | 1000 | 2000 | \{1\} | 10 | 9 | 0.004 |
| SW-1000-5-0d3-trial2 | 1000 | 2000 | \{1\} | 10 | 9 | 0.004 | SW-1000-5-0d3-trial3 | 1000 | 2000 | \{1\} | 10 | 10 | 0.004 |
| SW-1000-6-0d1-trial1 | 1000 | 3000 | \{1\} | 10 | 10 | 0.006 | SW-1000-6-0d1-trial2 | 1000 | 3000 | \{1\} | 10 | 9 | 0.006 |
| SW-1000-6-0d1-trial3 | 1000 | 3000 | \{1\} | 10 | 8 | 0.006 | SW-1000-6-0d2-trial1 | 1000 | 3000 | \{1\} | 10 | 8 | 0.006 |
| SW-1000-6-0d2-trial2 | 1000 | 3000 | \{1\} | 10 | 8 | 0.006 | SW-1000-6-0d2-trial3 | 1000 | 3000 | \{1\} | 10 | 7 | 0.006 |
| SW-1000-6-0d3-trial1 | 1000 | 3000 | \{1\} | 10 | 6 | 0.006 | SW-1000-6-0d3-trial2 | 1000 | 3000 | \{1\} | 10 | 6 | 0.006 |
| SW-1000-6-0d3-trial3 | 1000 | 3000 | \{1\} | 10 | 7 | 0.006 |  |  |  |  |  |  |  |


| Synthetic instances |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $B_{5} \cup R G_{32,0.05}$ | 32 | 48 | \{1\} | 5 | 5 | 0.0968 | $B_{5} \cup R G_{32,0.075}$ | 32 | 64 | \{1\} | 5 | 4 | 0.129 |
| $B_{5} \cup R G_{32,0.1}$ | 32 | 83 | \{1\} | 5 | 3 | 0.1673 | $B_{5} \cup R G_{32,0.15}$ | 32 | 89 | \{1\} | 5 | 3 | 0.1794 |
| $B_{5} \cup R G_{32,0.2}$ | 32 | 142 | \{1\} | 5 | 2 | 0.2863 | $B_{5} \cup R G_{32,0.25}$ | 32 | 156 | \{1\} | 5 | 2 | 0.3145 |
| $B_{6} \cup R G_{64,0.05}$ | 64 | 159 | \{1\} | 6 | 3 | 0.0789 | $B_{6} \cup R G_{64,0.075}$ | 64 | 184 | \{1\} | 6 | 3 | 0.0913 |
| $B_{6} \cup R G_{64,0.1}$ | 64 | 243 | \{1\} | 6 | 3 | 0.1205 | $B_{6} \cup R G_{64,0.15}$ | 64 | 349 | \{1\} | 6 | 2 | 0.1731 |
| $B_{6} \cup R G_{64,0.2}$ | 64 | 461 | \{1\} | 6 | 2 | 0.2287 | $B_{6} \cup R G_{64,0.25}$ | 64 | 558 | \{1\} | 6 | 2 | 0.2768 |
| $B_{7} \cup R G_{128,0.05}$ | 128 | 560 | \{1\} | 7 | 3 | 0.0689 | $B_{7} \cup R G_{128,0.075}$ | 128 | 716 | \{1\} | 7 | 3 | 0.0881 |
| $B_{7} \cup R G_{128,0.1}$ | 128 | 923 | \{1\} | 7 | 3 | 0.1136 | $B_{7} \cup R G_{128,0.15}$ | 128 | 1313 | \{1\} | 7 | 3 | 0.1615 |
| $B_{7} \cup R G_{128,0.2}$ | 128 | 1742 | \{1\} | 7 | 2 | 0.2143 | $B_{7} \cup R G_{128,0.25}$ | 128 | 2140 | \{1\} | 7 | 2 | 0.2633 |
| $B_{8} \cup R G_{256,0.05}$ | 256 | 1863 | \{1\} | 8 | 3 | 0.0571 | $B_{8} \cup R G_{256,0.075}$ | 256 | 2657 | \{1\} | 8 | 3 | 0.0814 |
| $B_{8} \cup R G_{256,0.1}$ | 256 | 3450 | \{1\} | 8 | 2 | 0.1057 | $B_{8} \cup R G_{256,0.15}$ | 256 | 5168 | \{1\} | 8 | 2 | 0.1583 |
| $B_{8} \cup R G_{256,0.2}$ | 256 | 6691 | \{1\} | 8 | 2 | 0.205 | $B_{8} \cup R G_{256,0.25}$ | 256 | 8307 | \{1\} | 8 | 2 | 0.2545 |
| $B_{9} \cup R G_{512,0.05}$ | 512 | 6881 | \{1\} | 9 | 3 | 0.0526 | $B_{9} \cup R G_{512,0.075}$ | 512 | 10304 | \{1\} | 9 | 3 | 0.0788 |
| $B_{9} \cup R G_{512,0.1}$ | 512 | 13444 | \{1\} | 9 | 2 | 0.1028 | $B_{9} \cup R G_{512,0.15}$ | 512 | 20009 | \{1\} | 9 | 2 | 0.153 |
| $B_{9} \cup R G_{512,0.2}$ | 512 | 27012 | \{1\} | 9 | 2 | 0.2065 | $B_{9} \cup R G_{512,0.25}$ | 512 | 33313 | \{1\} | 9 | 2 | 0.2547 |
| Continued on next page |  |  |  |  |  |  |  |  |  |  |  |  |  |


| Instance | $\|V\|$ | $\|E\|$ | $V_{0}$ | $T L B L B B$ density |  |  | Instance | $\|V\|$ | $\|E\|$ | $V_{0}$ | $T L B L B B$ density |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $B_{10} \cup R G_{1024,0.05}$ | 1024 | 27259 | \{1\} | 10 | 3 | 0.052 | $B_{10} \cup R G_{1024,0.075}$ | 1024 | 40222 | \{1\} | 10 | 3 | 0.0768 |
| $B_{10} \cup R G_{1024,0.1}$ | 1024 | 53480 | \{1\} | 10 | 2 | 0.1021 | $B_{10} \cup R G_{1024,0.15}$ | 1024 | 79574 | \{1\} | 10 | 2 | 0.1519 |
| $B_{10} \cup R G_{1024,0.2}$ | 1024 | 105448 | \{1\} | 10 | 2 | 0.2013 | $B_{10} \cup R G_{1024,0.25}$ | 1024 | 131643 | \{1\} | 10 | 2 | 0.2513 |

## A. 2 Instances for the WMBT.

For each instance, Table A. 2 gives the name (column 'Instance'), the number of vertices (column ' $|V|^{\prime}$ ), the number of edges (column ' $|E|^{\prime}$ ), the initial source vertex (column ' $V_{0}$ '), the lower bound found by the proposed Algorithm 7 (column 'LBB'), and the edge density (column 'density').

Table A.2: Description of the test instances.

| Instance | $\|V\|$ | $\|E\|$ | $V_{0}$ | TLB | LBB | density | Instance | $\|V\|$ | $\|E\|$ | $V_{0}$ |  | LBB | density |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Random graph |  |  |  |  |  |  |  |  |  |  |  |  |  |
| $R G_{64,10,1}$ | 64 | 274 | \{7\} | 6 | 3 | 0.1359 | $R G_{64,15,1}$ | 64 | 352 | \{34\} | 6 | 3 | 0.1746 |
| $R G_{64,20,1}$ | 64 | 456 | \{31\} | 6 | 3 | 0.2262 | $R G_{64,25,1}$ | 64 | 549 | \{30\} | 6 | 2 | 0.2723 |
| $R G_{128,10,1}$ | 128 | 917 | \{82\} | 7 | 20 | 0.1128 | $R G_{128,15,1}$ | 128 | 1313 | \{104\} | 7 | 20 | 0.1615 |
| $R G_{128,20,1}$ | 128 | 1753 | \{5\} | 7 | 18 | 0.2157 | $R G_{128,25,1}$ | 128 | 2209 | \{57\} | 7 | 15 | 0.2718 |
| $R G_{256,10,1}$ | 256 | 3493 | \{20\} | 8 | 15 | 0.107 | $R G_{256,15,1}$ | 256 | 5069 | \{235\} | 8 | 16 | 0.1553 |
| $R G_{256,20,1}$ | 256 | 6743 | \{190\} | 8 | 10 | 0.2066 | $R G_{256,25,1}$ | 256 | 8404 | \{116\} | 8 | 12 | 0.2575 |
| $R G_{512,10,1}$ | 512 | 13500 | \{408\} | 9 | 13 | 0.1032 | $R G_{512,15,1}$ | 512 | 20081 | \{384\} | 9 | 16 | 0.1535 |
| $R G_{512,20,1}$ | 512 | 26446 | \{267\} | 9 | 10 | 0.2022 | $R G_{512,25,1}$ | 512 | 33103 | \{326\} | 9 | 13 | 0.2531 |
| $R G_{1024,10,1}$ | 1024 | 53315 | \{553\} | 10 | 13 | 0.1018 | $R G_{1024,15,1}$ | 1024 | 79258 | \{829\} | 10 | 15 | 0.1513 |
| $R G_{1024,20,1}$ | 1024 | 105629 | \{246\} | 10 | 9 | 0.2017 | $R G_{1024,25,1}$ | 1024 | 131816 | \{881\} | 10 | 9 | 0.2517 |


| Random graph with optima know |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $R G O_{64,10,1}$ | 64 | 267 | \{48\} | 6 | 74 | 0.1324 | $R G O_{64,15,1}$ | 64 | 354 | \{50\} | 6 | 108 | 0.1756 |
| $R G O_{64,20,1}$ | 64 | 456 | \{32\} | 6 | 87 | 0.2262 | $R G O_{64,25,1}$ | 64 | 548 | \{38\} | 6 | 140 | 0.2718 |
| $R G O_{128,10,1}$ | 128 | 932 | \{30\} | 7 | 117 | 0.1147 | $R G O_{128,15,1}$ | 128 | 1325 | \{42\} | 7 | 186 | 0.163 |
| $R G O_{128,20,1}$ | 128 | 1705 | \{17\} | 7 | 167 | 0.2098 | $R G O_{128,25,1}$ | 128 | 2090 | \{65\} | 7 | 122 | 0.2571 |
| $R G O_{256,10,1}$ | 256 | 3461 | \{119\} | 8 | 250 | 0.106 | $R G O_{256,15,1}$ | 256 | 5119 | \{124\} | 8 | 208 | 0.1568 |
| $R G O_{256,20,1}$ | 256 | 6925 | \{163\} | 8 | 169 | 0.2122 | $R G O_{256,25,1}$ | 256 | 8353 | \{1\} | 8 | 211 | 0.2559 |
| $R G O_{512,10,1}$ | 512 | 13460 | \{272\} | 9 | 288 | 0.1029 | $R G O_{512,15,1}$ | 512 | 20347 | \{337\} | 9 | 409 | 0.1555 |
| $R G O_{512,20,1}$ | 512 | 26371 | \{300\} | 9 | 297 | 0.2016 | $R G O_{512,25,1}$ | 512 | 32891 | \{317\} | 9 | 320 | 0.2514 |
| $R G O_{1024,10,1}$ | 1024 | 53634 | \{778\} | 10 | 440 | 0.1024 | $R G O_{1024,15,1}$ | 1024 | 79458 | \{51\} | 10 | 550 | 0.1517 |
| $R G O_{1024,20,1}$ | 1024 | 105615 | \{871\} | 10 | 479 | 0.2016 | $R G O_{1024,25,1}$ | 1024 | 131157 | \{421\} | 10 | 353 | 0.2504 |


[^0]:    ${ }^{1}$ http://www.networkrepository.com

